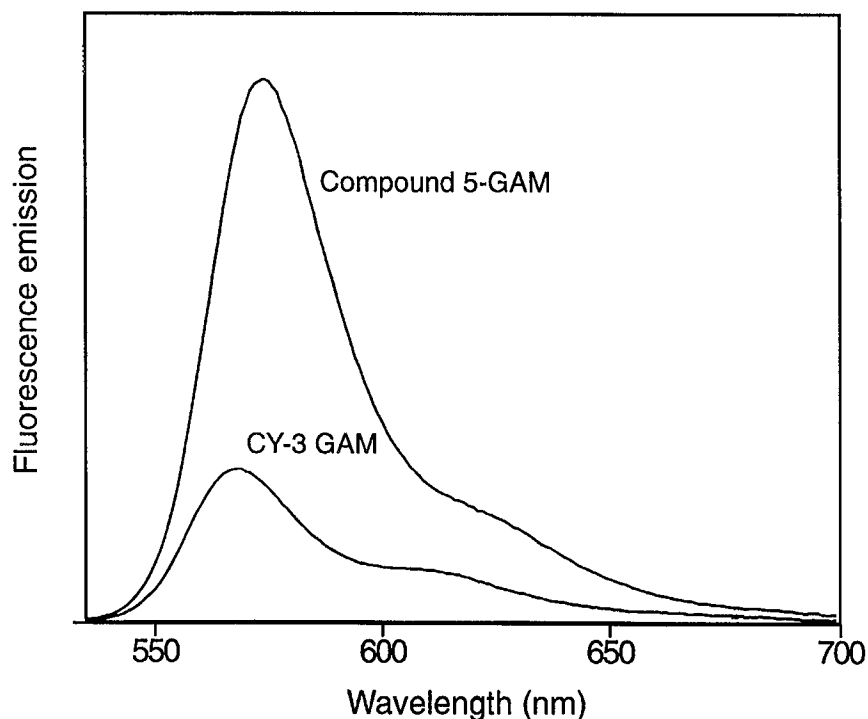


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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| <b>(51) International Patent Classification</b> <sup>6</sup> :<br><b>C07D 311/82, 491/14, 405/12, 491/22, C07H 3/06, 21/00, 19/04, C07K 14/415, G01N 1/30</b>  | <b>A1</b> | <b>(11) International Publication Number:</b> <b>WO 99/15517</b><br><b>(43) International Publication Date:</b> 1 April 1999 (01.04.99)   |
| <b>(21) International Application Number:</b> PCT/US98/19921<br><b>(22) International Filing Date:</b> 23 September 1998 (23.09.98)<br><br><b>(30) Priority Data:</b><br>08/935,963 23 September 1997 (23.09.97) US<br><br><b>(71) Applicant (for all designated States except US):</b> MOLECULAR PROBES, INC. [US/US]; 4849 Pitchford Avenue, Eugene, OR 97402-9165 (US).<br><br><b>(72) Inventors; and</b><br><b>(75) Inventors/Applicants (for US only):</b> MAO, Fei [CN/US]; 2850 Garfield Street, Eugene, OR 97405 (US). LEUNG, Wai-Yee [CN/US]; 3272 Kevington Avenue, Eugene, OR 97405 (US). HAUGLAND, Richard, P. [US/US]; 2233 Lasater, Eugene, OR 97405 (US).<br><br><b>(74) Agents:</b> HELFENSTEIN, Allegra, J. et al.; Molecular Probes, Inc., 4849 Pitchford Avenue, Eugene, OR 97402 (US). |           | <b>(81) Designated States:</b> AU, CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).<br><br><b>Published</b><br><i>With international search report.</i><br><i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> |

**(54) Title:** SULFONATED XANTHENE DERIVATIVES**(57) Abstract**

The present invention describes xanthene dyes, including rhodamines, rhodols and fluoresceins that are substituted one or more times by a sulfonic acid or a salt of a sulfonic acid. The dyes of the invention, including chemically reactive dyes and dye-conjugates are useful as fluorescent probes, particularly in biological samples.

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## SULFONATED XANTHENE DERIVATIVES

## TECHNICAL FIELD

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The invention relates to novel sulfonated xanthene dyes (including rhodamines, fluoresceins and rhodol dyes), reactive dye derivatives, and dye-conjugates; and to their use in biological systems.

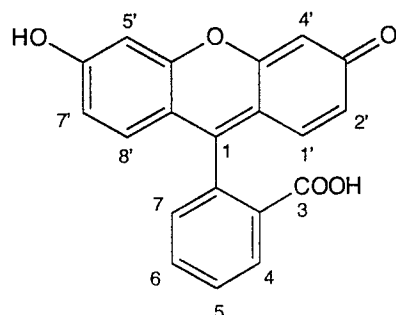
## BACKGROUND ART

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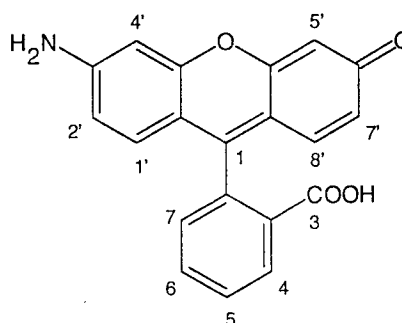
The dyes of this invention are xanthene dyes, including fluorescein, rhodol and rhodamine dyes, that are substituted by at least one sulfonate moiety on the xanthene portion of the dye. The sulfonated xanthene dyes of the invention possess considerable advantages over their non-sulfonated analogs.

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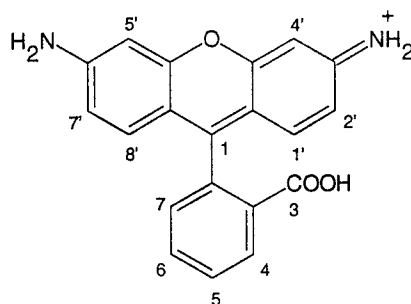
"Fluorescein" dyes include derivatives of 3*H*-xanthen-6-ol-3-one that are typically substituted at the 9-position by a 2-carboxyphenyl group. "Rhodol" dyes include derivatives of 6-amino-3*H*-xanthen-3-one that are typically substituted at the 9-position by a 2-carboxyphenyl group. "Rhodamine" dyes include derivatives of 6-amino-3*H*-xanthen-3-imine that are typically substituted at the 9-position by a 2-carboxyphenyl group.



Fluorescein

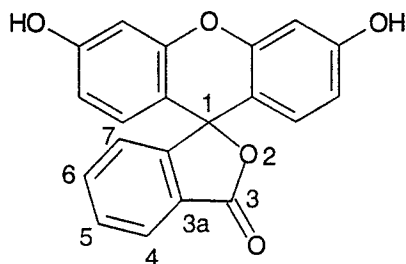


Rhodol



Rhodamine

Rhodols, rhodamines and fluoresceins are typically substituted by a derivative capable of forming a 5- or 6-membered lactone or lactam ring. For example in the case of fluorescein the spiro lactone form of the dye has the structure:



5

## DISCLOSURE OF INVENTION

Fluorescence yields for the dyes of the invention are typically higher than those of other dyes having comparable spectra (Table 5). The sulfonated dyes of the invention similarly exhibit enhanced resistance to quenching upon protein conjugation (Figure 3), and enhanced photostability (Figure 6). The spectra of the sulfonated rhodamine dyes of the invention are insensitive to pH changes in the range between pH 4 and 10.

## BRIEF DESCRIPTION OF DRAWINGS

Figure 1: The absorption spectra of goat anti-mouse (GAM) IgG conjugates of Compound 5 (Compound 5-GAM) and tetramethylrhodamine (TMR-GAM), as described in Example 38.

Figure 2: The fluorescence emission spectra of goat anti-mouse IgG conjugates of Compound 5 (Compound 5-GAM) and CY-3 dye (CY-3 GAM) at similar degrees of substitution and equal optical densities, when excited at 530 nm, as described in Example 40.

Figure 3: Conjugate fluorescence vs. degree of substitution for goat anti-mouse IgG conjugates (Fab2 fragments) of Compound 7 and TEXAS RED-X dye, showing less quenching at high degrees of substitution for the dyes of the invention, as described in Example 40.

Figure 4: The fluorescence emission spectra of R-phycoerythrin (R-PE) compared to that of a Compound 24-conjugate of R-phycoerythrin, with excitation at 488 nm, as described in Example 42.

Highly efficient energy transfer from the protein to the dye of the invention is demonstrated.

Figure 5: Relative photobleaching rates of cells stained with a phalloidin conjugate of the present invention (Compound 35) or fluorescein phalloidin, respectively, as described in Example 45. Relative photobleaching rates demonstrate the superior photostability of the dyes of the present invention.

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Figure 6: Relative photobleaching rates of cells stained with goat anti-mouse IgG conjugates of Compound 5 (Compound 5-GAM) and CY-3 dye (CY-3-GAM), as described in Example 45. Relative photobleaching rates demonstrate the superior photostability of the dyes of the present invention

10 Figure 7: The fluorescence emission spectra of cross-linked allophycocyanin (XL-APC) compared to that of a Compound 19-conjugate of cross-linked allophycocyanin (Compound 19-XL-APC) (Example 59). The addition of the sulfonated rhodamine dye greatly enhances the fluorescence emission at 650 nm via energy transfer from the dye of the invention to the fluorescent protein.

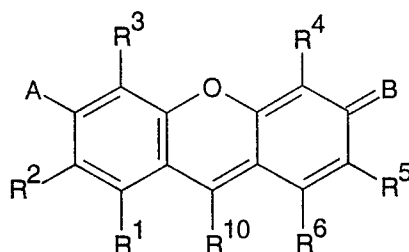
## 15 SUMMARY OF THE INVENTION AND DESCRIPTION OF PREFERRED EMBODIMENTS

The present invention describes xanthene dyes that are substituted one or more times by a sulfonic acid or a salt of a sulfonic acid that are useful as fluorescent probes. The dyes of the invention optionally possess a reactive group useful for preparing fluorescent conjugates.

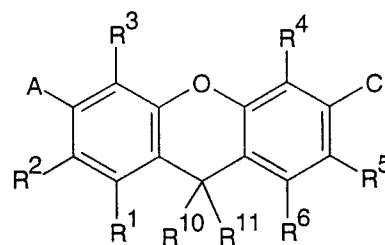
20 The compounds of the invention are xanthenes, including fluoresceins, rhodamines and rhodols, that are substituted one or more times by  $-\text{SO}_3\text{X}$  or  $-\text{CH}_2\text{SO}_3\text{X}$ , where X is H (sulfonic acid), or a counterion (salt of a sulfonic acid). As used herein, where X is a counterion, it is typically a cation that is not toxic as used, and does not have a substantially deleterious effect on biomolecules. Examples of suitable cations include, among others,  $\text{K}^+$ ,  $\text{Na}^+$ ,  $\text{Cs}^+$ ,  $\text{Li}^+$ ,  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ , ammonium,

25 alkylammonium or alkoxyammonium salts, or pyridinium salts. Alternatively, the counterion of the sulfonic acid may form an inner salt with a positively charged atom on the xanthene dye itself, typically the quaternary nitrogen atom of a rhodamine dye.

In one embodiment, the dyes have formula I or formula II, as shown below:



Formula I



Formula II

30

Substituents R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are independently H, F, Cl, Br, I, CN; or C<sub>1</sub>-C<sub>18</sub> alkyl, or C<sub>1</sub>-

C<sub>18</sub> alkoxy, where each alkyl or alkoxy is optionally further substituted by F, Cl, Br, I, a carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alcohol. Alternatively one or more of R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are -SO<sub>3</sub>X, or -L-R<sub>x</sub>, or -L-S<sub>c</sub>, where L is a covalent linkage, R<sub>x</sub> is a reactive group, and S<sub>c</sub> is a conjugated substance. In a preferred embodiment, R<sup>3</sup> and R<sup>4</sup> are each -SO<sub>3</sub>X.

5        Substituents R<sup>1</sup> and R<sup>6</sup> are H, or R<sup>1</sup> taken in combination with R<sup>2</sup>, or R<sup>5</sup> taken in combination with R<sup>6</sup>, or both, form a fused aromatic six membered ring, that is optionally substituted by one or more -SO<sub>3</sub>X moieties.

10        In one embodiment of the invention, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are independently H, F, Cl, Br, I or C<sub>1</sub>-C<sub>18</sub> alkyl. In another embodiment of the invention, R<sup>1</sup>, R<sup>2</sup>, R<sup>5</sup> and R<sup>6</sup> are H. In yet another embodiment of the invention, R<sup>2</sup> and R<sup>5</sup> are each F or Cl.

15        The A moiety is OR<sup>7</sup>, where R<sup>7</sup> is H, C<sub>1</sub>-C<sub>18</sub> alkyl, or -L-R<sub>x</sub>, or -L-S<sub>c</sub>. Alternatively, A is NR<sup>8</sup>R<sup>9</sup> where R<sup>8</sup> and R<sup>9</sup> are independently H, C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, a salt of C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, or a salt of C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, where the alkyl portions of each are independently and optionally substituted by amino, hydroxy, carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alkyl. Alternatively, R<sup>8</sup> in combination with R<sup>9</sup> forms a saturated 5- or 6-membered heterocycle that is a piperidine, a morpholine, a pyrrolidine or a piperazine, each of which is optionally substituted by methyl, carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alkyl. In another alternative, one or both of R<sup>8</sup> and R<sup>9</sup> are -L-R<sub>x</sub> or -L-S<sub>c</sub>.

20        In another aspect of the invention, R<sup>8</sup> in combination with R<sup>2</sup>, or R<sup>9</sup> in combination with R<sup>3</sup>, or both, form a 5- or 6-membered ring that is saturated or unsaturated, and is optionally substituted by one or more C<sub>1</sub>-C<sub>6</sub> alkyls or -CH<sub>2</sub>SO<sub>3</sub>X moieties.

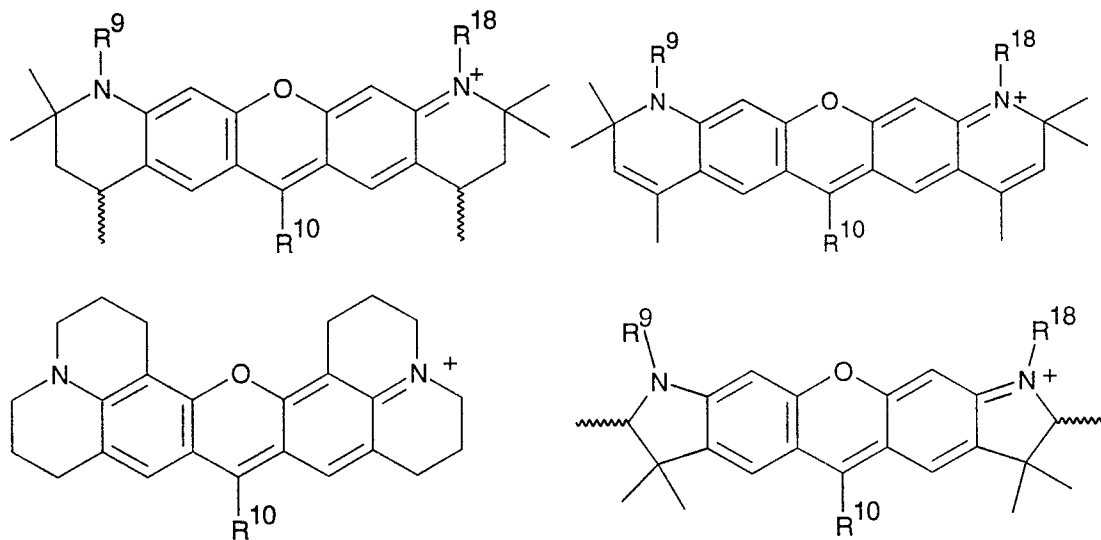
25        The B moiety, when present, is O or N<sup>+</sup>R<sup>18</sup>R<sup>19</sup>, where R<sup>18</sup> and R<sup>19</sup> are independently H, C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, a salt of C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, or a salt of C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, wherein the alkyl portions of each are optionally substituted by amino, hydroxy, carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alkyl. Alternatively, R<sup>18</sup> in combination with R<sup>19</sup> forms a saturated 5- or 6-membered heterocycle that is a piperidine, a morpholine, a pyrrolidine or a piperazine, each of which is optionally substituted by methyl, carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alkyl. In another alternative, one or both of R<sup>18</sup> and R<sup>19</sup> are -L-R<sub>x</sub>, or -L-S<sub>c</sub>.

30        In another aspect of the invention, R<sup>18</sup> in combination with R<sup>4</sup>, or R<sup>19</sup> in combination with R<sup>5</sup>, or both, form a 5- or 6-membered ring that is saturated or unsaturated, and is optionally substituted by one or more C<sub>1</sub>-C<sub>6</sub> alkyls or -CH<sub>2</sub>SO<sub>3</sub>X moieties.

35        The C moiety, when present, is OR<sup>17</sup>, where R<sup>17</sup> is H, C<sub>1</sub>-C<sub>18</sub> alkyl, or -L-R<sub>x</sub>, or -L-S<sub>c</sub>. Alternatively, C is NR<sup>18</sup>R<sup>19</sup> where R<sup>18</sup> and R<sup>19</sup> are as defined previously.

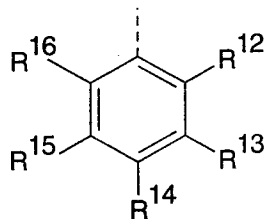
      In one embodiment of the invention, R<sup>9</sup> and R<sup>18</sup> are independently H, or carboxyalkyl, salt of carboxyalkyl, sulfoalkyl or a salt of sulfoalkyl, each having 1-6 carbons. Typically R<sup>9</sup> and R<sup>18</sup> are H, methyl or ethyl.

In another embodiment of the invention,  $R^8$  in combination with  $R^2$  and  $R^{19}$  in combination with  $R^5$  independently form 5- or 6-membered rings that are saturated or unsaturated, and are optionally substituted by one or more alkyl groups having 1-6 carbons, or by one or more  $-CH_2SO_3X$  moieties. In yet another embodiment of the invention,  $R^8$  in combination with  $R^2$  and  $R^{19}$  in combination with  $R^5$  independently form 5- or 6-membered rings that are saturated, and are substituted by one or more  $-CH_2SO_3X$  moieties. Some (but not all) examples of fused 5- or 6-membered rings as described herein are provided below (additional substituents, such as sulfonic acid or sulfomethyl moieties not shown).



The substituent  $R^{10}$  is H, F, CN, a carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a  $C_1$ - $C_6$  alcohol. Alternatively  $R^{10}$  is a saturated or unsaturated  $C_1$ - $C_{18}$  alkyl that is optionally substituted one or more times by F, Cl, Br, carboxylic acid, a salt of carboxylic acid, a carboxylic acid ester of a  $C_1$ - $C_6$  alcohol,  $-SO_3X$ , amino, alkylamino, or dialkylamino, the alkyl groups of each substituent having 1-6 carbons.  $R^{10}$  is optionally  $-L-R_x$  or  $-L-S_c$ .

In another embodiment of the invention,  $R^{10}$  is an aryl substituent having the formula

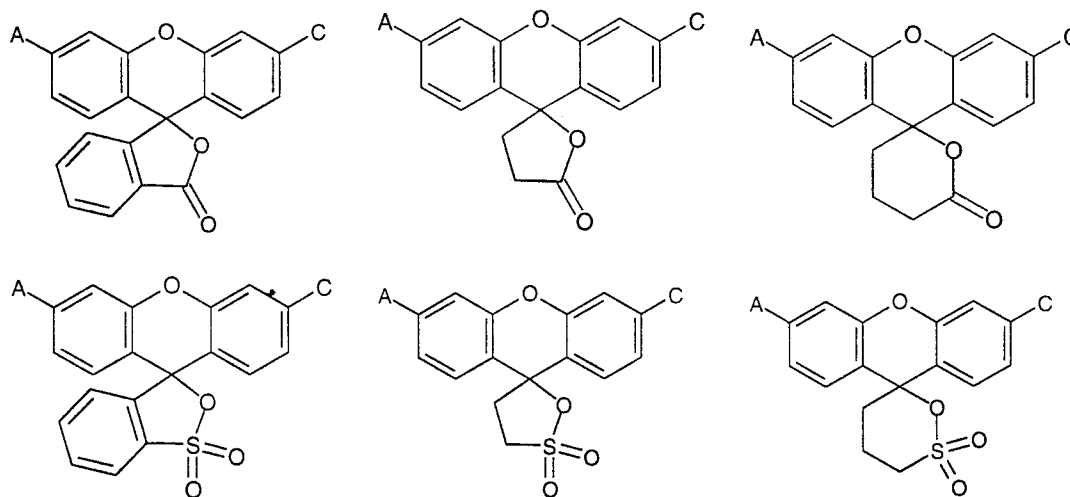


where the  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$  and  $R^{16}$  substituents are independently H, F, Cl, Br, I,  $-SO_3X$ , a carboxylic acid, a salt of carboxylic acid, CN, nitro, hydroxy, azido, amino, hydrazino, or  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$  and  $R^{16}$  are independently  $C_1$ - $C_{18}$  alkyl,  $C_1$ - $C_{18}$  alkoxy,  $C_1$ - $C_{18}$  alkylthio,  $C_1$ - $C_{18}$  alkanoylamino,  $C_1$ - $C_{18}$  alkylaminocarbonyl,  $C_2$ - $C_{36}$  dialkylaminocarbonyl,  $C_1$ - $C_{18}$  alkyloxycarbonyl, or  $C_6$ - $C_{18}$

arylcarboxamido, the alkyl or aryl portions of which are optionally substituted one or more times by F, Cl, Br, I, hydroxy, carboxylic acid, a salt of carboxylic acid, a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alcohol, -SO<sub>3</sub>X, amino, alkylamino, dialkylamino or alkoxy, the alkyl portions of these substituents in turn having 1-6 carbons. Alternatively, one pair of adjacent substituents R<sup>13</sup> and R<sup>14</sup>, R<sup>14</sup> and R<sup>15</sup> or R<sup>15</sup> and R<sup>16</sup>, when taken in combination, form a fused 6-membered aromatic ring that is optionally further substituted by carboxylic acid, or a salt of carboxylic acid. Alternatively, one of R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> is -L-R<sub>x</sub> or -L-S<sub>c</sub>.

In one embodiment of the invention, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup>, and R<sup>16</sup> are independently H, Cl, F, amino, nitro, -SO<sub>3</sub>X, a carboxylic acid, a salt of carboxylic acid, or a carboxy-substituted alkylthio having the formula -S-(CH<sub>2</sub>)<sub>n</sub>COOH, where n is 1-15. In another embodiment of the invention, at least three of R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup>, and R<sup>16</sup> are F or Cl. In another embodiment of the invention, one of R<sup>14</sup> and R<sup>15</sup> is a carboxylic acid, a salt of a carboxylic acid, or -S-(CH<sub>2</sub>)<sub>n</sub>COOH, where n is 1-15, and the other of R<sup>14</sup> and R<sup>15</sup> is H, F or Cl.

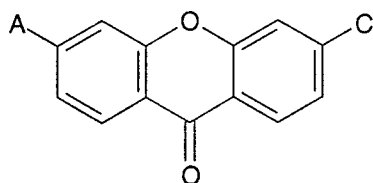
The R<sup>11</sup> substituent is H, hydroxy, CN or a C<sub>1</sub>-C<sub>6</sub> alkoxy. In another embodiment of the invention, R<sup>10</sup> in combination with R<sup>11</sup> forms a 5-membered spirolactone ring or a 5-membered spiro-sultone ring. Alternatively, R<sup>11</sup> in combination with R<sup>12</sup> forms a 5- or 6-membered spirolactone ring or a 5- or 6-membered spiro-sultone ring, for example (additional substituents are not shown):



The methylene carbons of the spirolactone ring or spiro-sultone ring are optionally and independently substituted by H, F or CH<sub>3</sub>.

Alternatively, R<sup>10</sup> together with R<sup>11</sup> is a carbonyl oxygen, according to the simplified formula below (additional substituents are not shown).





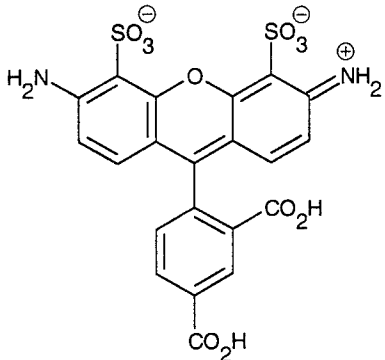
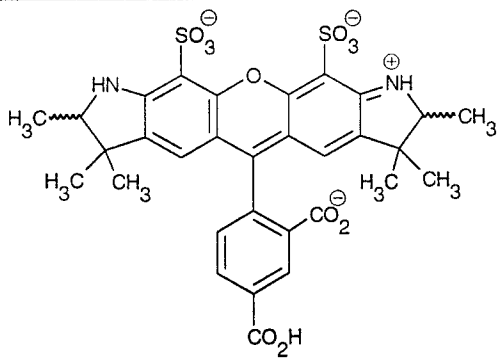
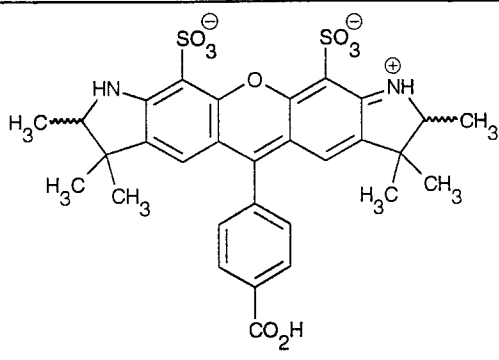
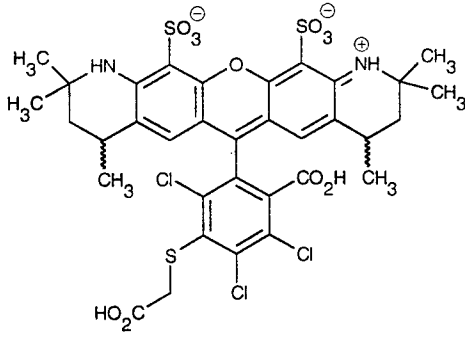
Dye embodiments that incorporate a spirolactone ring are representative of a structural isomer that may exist in equilibrium with the isomer wherein  $R^{12}$  is a carboxylic acid, or  $R^{10}$  is a propionic or butyric acid. Dyes that incorporate a spiro sultone ring may exist in equilibrium with the isomer wherein  $R^{12}$  is a sulfonic acid, or  $R^{10}$  is a sulfonic acid-substituted ethyl or propyl. Isomers that incorporate a spirolactone or spiro sultone ring are non-fluorescent until the ring is opened.

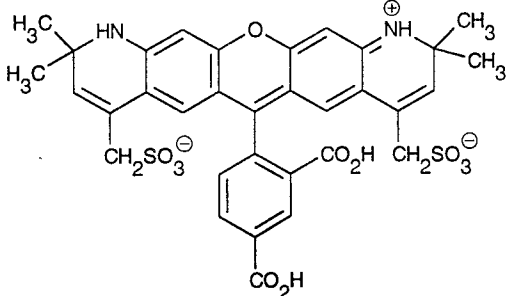
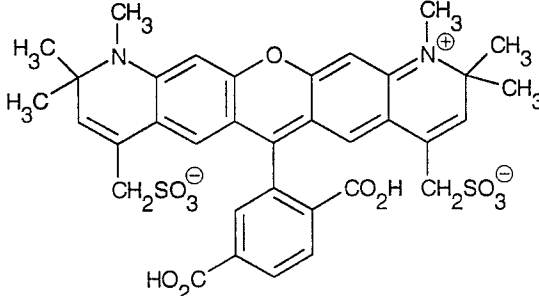
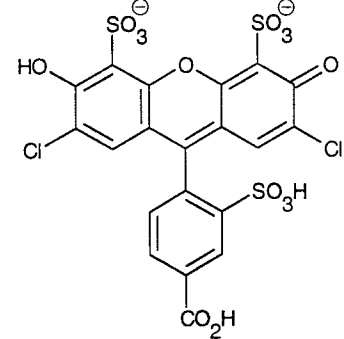
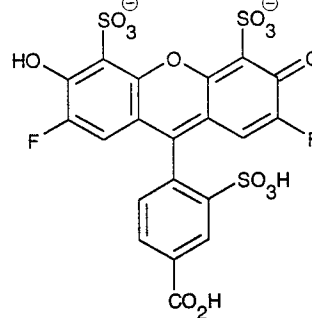
Where A is  $OR^7$ , B is O,  $R^{10}$  is aryl and  $R^{12}$  is carboxy or  $-SO_3X$ , the described dye is a fluorescein (Formula I). Where A is  $OR^7$  and C is  $OR^{17}$ ,  $R^{10}$  is aryl,  $R^{11}$  is H, and  $R^{12}$  is carboxy or  $-SO_3X$ , the described dye is a dihydrofluorescein (Formula II). Where A is  $NR^8R^9$ , B is O,  $R^{10}$  is aryl and  $R^{12}$  is carboxy, the described dye is a rhodol (Formula I). Where A is  $NR^8R^9$ , C is  $OR^{17}$ ,  $R^{10}$  is aryl,  $R^{11}$  is H, and  $R^{12}$  is carboxy, the dye is a dihydrorhodol (Formula II). Where A is  $NR^8R^9$ , B is  $N^+R^{18}R^{19}$ ,  $R^{10}$  is aryl, and  $R^{12}$  is carboxy, the described dye is a rhodamine. Where A is  $NR^8R^9$ , C is  $NR^{18}R^{19}$ ,  $R^{10}$  is aryl,  $R^{11}$  is H, and  $R^{12}$  is carboxy, the described dye is a dihydrorhodamine. Where the dyes of the invention are fluoresceins, they are preferably sulfonefluoresceins (wherein  $R^{12}$  is  $-SO_3X$ ). Preferably, the dyes of the invention are rhodamines or rhodols, more preferably rhodamines.

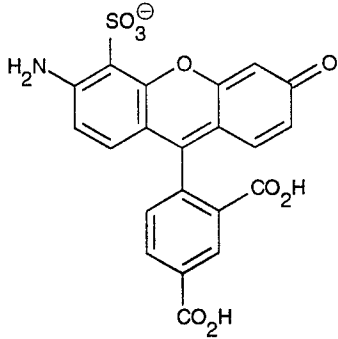
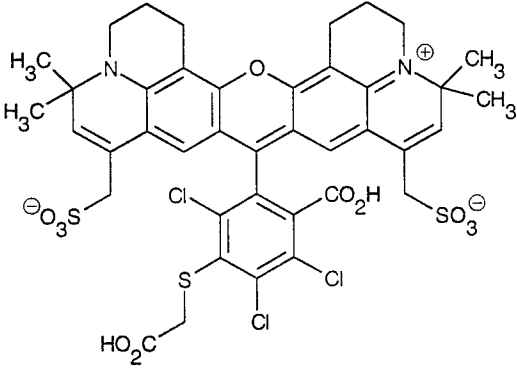
In one embodiment of the invention, at least one of  $R^2$ ,  $R^3$ ,  $R^4$ , and  $R^5$  is  $-SO_3X$ , preferably  $R^3$  and  $R^4$  are  $-SO_3X$ . In another embodiment of the invention,  $R^1$  taken in combination with  $R^2$ , or  $R^5$  taken in combination with  $R^6$ , or both, form a fused aromatic six-membered ring that is substituted by at least one  $-SO_3X$  moiety. In another embodiment of the invention  $R^8$  in combination with  $R^2$ , or  $R^9$  in combination with  $R^3$ , or  $R^{18}$  in combination with  $R^4$ , or  $R^{19}$  in combination with  $R^5$ , form a 5- or 6-membered ring that is saturated or unsaturated, and is substituted by at least one  $-CH_2SO_3X$  moiety. Preferably  $R^8$  in combination with  $R^2$  and  $R^{19}$  in combination with  $R^5$ , form a 5- or 6-membered ring that is saturated or unsaturated, and is substituted by at least one  $-CH_2SO_3X$  moiety.

Spectral properties of selected dyes are given in Table 1.

Table 1: Spectral properties of selected fluorophores of the invention

| Fluorophore   | Absorbance maximum (nm) | Emission maximum (nm) |
|---|-------------------------|-----------------------|
|  <p>Compound 1</p>   | 491                     | 515                   |
|  <p>Compound 43</p> | 521                     | 547                   |
|  <p>Compound 4</p> | 523                     | 548                   |
|  <p>Compound 5</p> | 553                     | 569                   |

|  |               |               |
|--|---------------|---------------|
|  <p>Compound 14</p>   | 573           | 596           |
|  <p>Compound 7</p>    | 585           | 610           |
|  <p>Compound 18</p>  | 506<br>(pH 9) | 522<br>(pH 9) |
|  <p>Compound 39</p> | 496<br>(pH 9) | 514<br>(pH 9) |

|  |               |               |
|--|---------------|---------------|
|  <p>Compound 17</p> | 493<br>(pH 9) | 518<br>(pH 9) |
|  <p>Compound 32</p> | 615           | 632           |

### Conjugates of Reactive Dyes

In one embodiment of the invention, the sulfonated xanthene contains at least one group -L-R<sub>x</sub>, where R<sub>x</sub> is the reactive group that is attached to the fluorophore by a covalent linkage L. In certain embodiments, the covalent linkage attaching the sulfonated xanthene to R<sub>x</sub> contains multiple intervening atoms that serve as a spacer. The dyes with a reactive group (R<sub>x</sub>) fluorescently label a wide variety of organic or inorganic substances that contain or are modified to contain functional groups with suitable reactivity, resulting in chemical attachment of the conjugated substance (S<sub>c</sub>), represented by -L-S<sub>c</sub>. The reactive group and functional group are typically an electrophile and a nucleophile that can generate a covalent linkage. Alternatively, the reactive group is a photoactivatable group. Typically, the conjugation reaction between the reactive dye and the substance to be conjugated results in one or more atoms of the reactive group R<sub>x</sub> to be incorporated into a new linkage L attaching the sulfonated xanthene to the conjugated substance S<sub>c</sub>. Selected examples of functional groups and linkages are shown in Table 2, where the reaction of an electrophilic group and a nucleophilic group yields a covalent linkage.

Table 2: Examples of some routes to useful covalent linkages

| Electrophilic Group  | Nucleophilic Group | Resulting Covalent Linkage |
|----------------------|--------------------|----------------------------|
| activated esters*    | amines/anilines    | carboxamides               |
| acrylamides          | thiols             | thioethers                 |
| acyl azides**        | amines/anilines    | carboxamides               |
| acyl halides         | amines/anilines    | carboxamides               |
| acyl halides         | alcohols/phenols   | esters                     |
| acyl nitriles        | alcohols/phenols   | esters                     |
| acyl nitriles        | amines/anilines    | carboxamides               |
| aldehydes            | amines/anilines    | imines                     |
| aldehydes or ketones | hydrazines         | hydrazones                 |
| aldehydes or ketones | hydroxylamines     | oximes                     |
| alkyl halides        | amines/anilines    | alkyl amines               |
| alkyl halides        | carboxylic acids   | esters                     |
| alkyl halides        | thiols             | thioethers                 |
| alkyl halides        | alcohols/phenols   | ethers                     |
| alkyl sulfonates     | thiols             | thioethers                 |
| alkyl sulfonates     | carboxylic acids   | esters                     |
| alkyl sulfonates     | alcohols/phenols   | ethers                     |
| anhydrides           | alcohols/phenols   | esters                     |
| anhydrides           | amines/anilines    | carboxamides               |
| aryl halides         | thiols             | thiophenols                |
| aryl halides         | amines             | aryl amines                |
| aziridines           | thiols             | thioethers                 |
| boronates            | glycols            | boronate esters            |
| carboxylic acids     | amines/anilines    | carboxamides               |
| carboxylic acids     | alcohols           | esters                     |
| carboxylic acids     | hydrazines         | hydrazides                 |
| carbodiimides        | carboxylic acids   | N-acylureas or anhydrides  |
| dialkyl azoalkanes   | carboxylic acids   | esters                     |
| epoxides             | thiols             | thioethers                 |
| haloacetamides       | thiols             | thioethers                 |
| halotriazines        | amines/anilines    | aminotriazines             |
| halotriazines        | alcohols/phenols   | triazinyl ethers           |
| imido esters         | amines/anilines    | amidines                   |
| isocyanates          | amines/anilines    | ureas                      |
| isocyanates          | alcohols/phenols   | urethanes                  |
| isothiocyanates      | amines/anilines    | thioureas                  |
| maleimides           | thiols             | thioethers                 |
| phosphoramidites     | alcohols           | phosphite esters           |
| silyl halides        | alcohols           | silyl ethers               |
| sulfonate esters     | amines/anilines    | alkyl amines               |
| sulfonate esters     | thiols             | thioethers                 |
| sulfonate esters     | carboxylic acids   | esters                     |
| sulfonate esters     | alcohols           | ethers                     |
| sulfonyl halides     | amines/anilines    | sulfonamides               |
| sulfonyl halides     | phenols/alcohols   | sulfonate esters           |

\* Activated esters, as understood in the art, generally have the formula  $-\text{CO}\Omega$ , where  $\Omega$  is a good leaving group (e.g. succinimidyl (-OC<sub>4</sub>H<sub>4</sub>O<sub>2</sub>) sulfosuccinimidyl (-OC<sub>4</sub>H<sub>3</sub>O<sub>2</sub>-SO<sub>3</sub>H), -1-oxybenzotriazolyl (-OC<sub>6</sub>H<sub>4</sub>N<sub>3</sub>); or an aryloxy group or aryloxy substituted one or more times by electron withdrawing substituents such as nitro, sulfo, fluoro, chloro, cyano, or trifluoromethyl, or combinations thereof, used to form activated aryl esters; or a carboxylic acid activated by a carbodiimide to form an anhydride or mixed anhydride  $-\text{OCOR}^a$  or  $-\text{OCNR}^a\text{NHR}^b$ , where  $R^a$  and  $R^b$ ,

which may be the same or different, are C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> perfluoroalkyl, or C<sub>1</sub>-C<sub>6</sub> alkoxy; or cyclohexyl, 3-dimethylaminopropyl, or N-morpholinoethyl).

\*\* Acyl azides can also rearrange to isocyanates

5           The covalent linkage L binds the reactive group R<sub>x</sub> or conjugated substance S<sub>c</sub> to the fluorophore, either directly (L is a single bond) or with a combination of stable chemical bonds, optionally including single, double, triple or aromatic carbon-carbon bonds, as well as carbon-nitrogen bonds, nitrogen-nitrogen bonds, carbon-oxygen bonds, carbon-sulfur bonds, phosphorus-oxygen bonds, and phosphorus-nitrogen bonds. L typically includes ether, thioether, 10 carboxamide, sulfonamide, urea, urethane or hydrazine moieties. Preferred L moieties have 1-20 nonhydrogen atoms selected from the group consisting of C, N, O, P, and S; and are composed of any combination of ether, thioether, amine, ester, carboxamide, sulfonamide, hydrazide bonds and aromatic or heteroaromatic bonds. Preferably L is a combination of single carbon-carbon bonds and carboxamide or thioether bonds. The longest linear segment of the linkage L preferably contains 4- 15 10 nonhydrogen atoms, including one or two heteroatoms. Examples of L include substituted or unsubstituted polymethylene, arylene, alkylarylene, arylenealkyl, or arylthio. In one embodiment, L contains 1-6 carbon atoms; in another, L is a thioether linkage. In yet another embodiment, L is or incorporates the formula  $-(CH_2)_a(CONH(CH_2)_b)_z-$ , where a has any value from 0-5, b has any value from 1-5 and z is 0 or 1. In yet another embodiment, L is or incorporates a substituted platinum 20 atom as described in U.S. Patent No. 5,714,327 to Houthoff et. al. (1998).

The -L-R<sub>x</sub> and -L-S<sub>c</sub> moieties are bound directly to the fluorophore at any of R<sup>2</sup>-R<sup>5</sup> or R<sup>7</sup>-R<sup>16</sup>, preferably at one of R<sup>13</sup>-R<sup>16</sup>, more preferably at R<sup>14</sup> or R<sup>15</sup>, or is present as a substituent on an alkyl, alkoxy, alkylthio or alkylamino substituent. In one embodiment, exactly one of R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>7</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup> or R<sup>16</sup> is an -L-R<sub>x</sub> or -L-S<sub>c</sub> moiety. In another embodiment, exactly one of 25 R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup>, or R<sup>16</sup> is an -L-R<sub>x</sub> or -L-S<sub>c</sub> moiety. In yet another embodiment, exactly one of R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup> or R<sup>10</sup> is an -L-R<sub>x</sub> or -L-S<sub>c</sub> moiety.

Choice of the reactive group used to attach the fluorophore to the substance to be conjugated typically depends on the functional group on the substance to be conjugated and the type or length of covalent linkage desired. The types of functional groups typically present on the organic or 30 inorganic substances include, but are not limited to, amines, thiols, alcohols, phenols, aldehydes, ketones, phosphates, imidazoles, hydrazines, hydroxylamines, disubstituted amines, halides, epoxides, sulfonate esters, purines, pyrimidines, carboxylic acids, or a combination of these groups. A single type of reactive site may be available on the substance (typical for polysaccharides), or a variety of sites may occur (e.g. amines, thiols, alcohols, phenols), as is typical for proteins. A 35 conjugated substance may be conjugated to more than one fluorophore, which may be the same or different, or to a substance that is additionally modified by a hapten, such as biotin. Although some selectivity can be obtained by careful control of the reaction conditions, selectivity of labeling is best obtained by selection of an appropriate reactive dye.

Typically,  $R_x$  will react with an amine, a thiol, an alcohol, an aldehyde or a ketone. In one embodiment,  $R_x$  is an acrylamide, an activated ester of a carboxylic acid, an acyl azide, an acyl nitrile, an aldehyde, an alkyl halide, an amine, an anhydride, an aniline, an aryl halide, an azide, an aziridine, a boronate, a carboxylic acid, a diazoalkane, a haloacetamide, a halotriazine, a hydrazine (including hydrazides), an imido ester, an isocyanate, an isothiocyanate, a maleimide, a phosphoramidite, a sulfonyl halide, or a thiol group. Preferably,  $R_x$  is a carboxylic acid, a succinimidyl ester, an amine, a haloacetamide, a hydrazine, an isothiocyanate, a maleimide group or an azidoperfluorobenzamido group.

Where the reactive group is a photoactivatable group, such as an azide, diazirinyl or azidoaryl derivative, the dye becomes chemically reactive after illumination with light of an appropriate wavelength.

Where  $R_x$  is a succinimidyl ester of a carboxylic acid, the reactive dye is particularly useful for preparing dye-conjugates of proteins or oligonucleotides. Where  $R_x$  is a maleimide, the reactive dye is particularly useful for conjugation to thiol-containing substances. Where  $R_x$  is a hydrazide, the reactive dye is particularly useful for conjugation to periodate-oxidized carbohydrates and glycoproteins, and in addition is an aldehyde-fixable polar tracer for cell microinjection.

The reactive dyes of the invention are useful for the preparation of any conjugated substance that possess a suitable functional group for covalent attachment of the fluorophore. Examples of particularly useful dye-conjugates include, among others, conjugates of antigens, steroids, vitamins, drugs, haptens, metabolites, toxins, environmental pollutants, amino acids, peptides, proteins, nucleic acids, nucleic acid polymers, carbohydrates, lipids, ion-complexing moieties, and non-biological polymers. Alternatively, these are conjugates of cells, cellular systems, cellular fragments, or subcellular particles. Examples include, among others, virus particles, bacterial particles, virus components, biological cells (such as animal cells, plant cells, bacteria, yeast, or protists), or cellular components. Sulfonated reactive dyes typically label reactive sites at the cell surface, in cell membranes, organelles, or cytoplasm. Preferably the conjugated substance is an amino acid, peptide, protein, tyramine, polysaccharide, ion-complexing moiety, nucleotide, nucleic acid polymer, hapten, drug, hormone, lipid, lipid assembly, polymer, polymeric microparticle, biological cell or virus. In one embodiment, conjugates of biological polymers such as peptides, proteins, oligonucleotides and/or nucleic acid polymers are also labeled with a second fluorescent or non-fluorescent dye, including an additional dye of the present invention, to form an energy-transfer pair.

In one embodiment, the conjugated substance ( $S_c$ ) is an amino acid (including those that are protected or are substituted by phosphates, carbohydrates, or  $C_1$  to  $C_{22}$  carboxylic acids), or is a polymer of amino acids such as a peptide or protein. Preferred conjugates of peptides contain at least five amino acids, more preferably 5 to 36 amino acids. Preferred peptides include, but are not limited to, neuropeptides, cytokines, toxins, protease substrates, and protein kinase substrates. Preferred protein conjugates include enzymes, antibodies, lectins, glycoproteins, histones, albumins,

lipoproteins, avidin, streptavidin, protein A, protein G, phycobiliproteins and other fluorescent proteins, hormones, toxins and growth factors. Typically, the conjugated protein is an antibody, an antibody fragment, avidin, streptavidin, a toxin, a lectin, a hormone, or a growth factor. Typically where the conjugated substance is a toxin, it is a neuropeptide or a phalloxin, such as phalloidin.

5           Where the conjugated substance is a phycobiliprotein, it is typically a phycoerythrin, a phycocyanin, or an allophycocyanin, preferably B- or R-phycoerythrin, or an allophycocyanin. The phycobiliprotein is optionally chemically cross-linked, particularly a cross-linked allophycocyanin. In this embodiment, the dye of the invention and the phycobiliprotein form an energy transfer pair, and exhibit a substantial degree of fluorescence resonance energy transfer (Example 59, Figure 7).

10          Preferably, the dye of the invention acts as a donor dye, and the phycobiliprotein acts as the ultimate acceptor, permitting excitation with a 488 nm light source with very long wavelength fluorescence. Alternatively, the dye is an acceptor dye and the phycobiliprotein is the initial donor dye (Examples 41 and 42, Figure 4). Preferably the dye-phycobiliprotein conjugate exhibits an effective Stokes shift of > 100 nm, with maximal excitation of the dye at 485-515 nm, and maximal  
15          fluorescence emission of the phycobiliprotein at 620 nm or greater. These energy transfer pairs optionally comprise a chemically reactive group or a conjugated substance, typically attached via the phycobiliprotein, to facilitate use as detectable labels or tracers. As with other protein conjugates, the phycobiliprotein is optionally labeled with additional fluorophores, which may be the same or different, that function as additional energy transfer dyes, donor dyes, or ultimate emitter dyes.

20           In another embodiment, the conjugated substance ( $S_c$ ) is a nucleic acid base, nucleoside, nucleotide or a nucleic acid polymer, including those that were modified to possess an additional linker or spacer for attachment of the dyes of the invention, such as an alkynyl linkage (US Pat. 5,047,519), an aminoallyl linkage (US Pat. 4,711,955) or other linkage. Preferably, the conjugated nucleotide is a nucleoside triphosphate or a deoxynucleoside triphosphate or a dideoxynucleoside  
25          triphosphate.

            Preferred nucleic acid polymer conjugates are labeled, single- or multi-stranded, natural or synthetic DNA or RNA, DNA or RNA oligonucleotides, or DNA/RNA hybrids, or incorporate an unusual linker such as morpholine derivatized phosphates (AntiVirals, Inc., Corvallis OR), or peptide nucleic acids such as *N*-(2-aminoethyl)glycine units. When the nucleic acid is a synthetic  
30          oligonucleotide, it typically contains fewer than 50 nucleotides, more typically fewer than 25 nucleotides. Larger fluorescent nucleic acid polymers are typically prepared from labeled nucleotides or oligonucleotides using oligonucleotide-primed DNA polymerization, such as by using the polymerase chain reaction or through primer extension, or by terminal-transferase catalyzed addition of a labeled nucleotide to a 3'-end of a nucleic acid polymer. Typically, the dye is attached  
35          via one or more purine or pyrimidine bases through an amide, ester, ether or thioether bond; or is attached to the phosphate or carbohydrate by a bond that is an ester, thioester, amide, ether or thioether. Alternatively, dye conjugate of the invention is simultaneously labeled with a hapten such as biotin or digoxigenin, or to an enzyme such as alkaline phosphatase, or to a protein such as



an antibody. Nucleotide conjugates of the invention are readily incorporated by a DNA polymerase and can be used for *in situ* hybridization (Example 54) and nucleic acid sequencing (e.g., US Pats. 5,332,666; 5,171,534; and 4,997,928; and WO Appl. 94/05688).

In another embodiment, the conjugated substance ( $S_c$ ) is a carbohydrate that is a mono-, di-,  
5 or polysaccharide. Typically where  $S_c$  is a carbohydrate it is a polysaccharide, such as a dextran, FICOLL, heparin, glycogen, amylopectin, mannan, inulin, starch, agarose and cellulose. Alternatively, the carbohydrate is a polysaccharides that is a lipopolysaccharide. Preferred polysaccharide conjugates are dextran or FICOLL conjugates.

In another embodiment, the conjugated substance ( $S_c$ ), is a lipid (typically having 6–60  
10 carbons), including glycolipids, phospholipids, sphingolipids, and steroids. Alternatively, the conjugated substance is a lipid assembly, such as a liposome. The lipophilic moiety may be used to retain the conjugated substances in cells, as described in US Pat. 5,208,148.

Conjugates having an ion-complexing moiety serve as indicators for calcium, sodium, magnesium, potassium, or other biologically important metal ions. Preferred ion-complexing  
15 moieties are crown ethers, including diaryldiaza crown ethers (US Pat. 5,405,975); BAPTA chelators (US Pat. 5,453,517, US Pat. 5,516,911, and US Pat. 5,049,673); APTRA chelators (AM. J. PHYSIOL. 256, C540 (1989)); or pyridine- and phenanthroline-based metal ion chelators (U.S. Patent No. 5,648,270). Preferably the ion-complexing moiety is a diaryldiaza crown ether or BAPTA chelator. The ion indicators are optionally conjugated to plastic or biological polymers such as dextrans or  
20 microspheres to improve their utility as sensors. Alternatively, where the dye is a fluorescein or a rhodol, the dye itself acts as an indicator of  $H^+$  at pH values within about 1.5 pH units of the individual dye's pKa.

Other conjugates of non-biological materials include dye-conjugates of organic or inorganic polymers, polymeric films, polymeric wafers, polymeric membranes, polymeric particles, polymeric  
25 microparticles including magnetic and non-magnetic microspheres, conducting and non-conducting metals and non-metals, and glass and plastic surfaces and particles. Conjugates are optionally prepared by copolymerization of a sulfonated dye that contains an appropriate functionality while preparing the polymer, or by chemical modification of a polymer that contains functional groups with suitable chemical reactivity. Other types of reactions that are useful for preparing dye-  
30 conjugates of polymers include catalyzed polymerizations or copolymerizations of alkenes and reactions of dienes with dienophiles, transesterifications or transaminations. In another embodiment, the conjugated substance comprises a glass or silica, which may be formed into an optical fiber or other structure.

The preparation of dye conjugates using reactive dyes is well documented, e.g. by R.  
35 Haugland, MOLECULAR PROBES HANDBOOK OF FLUORESCENT PROBES AND RESEARCH CHEMICALS, Chapters 1-3 (1996); and Brinkley, BIOCONJUGATE CHEM., 3, 2 (1992). Conjugates typically result from mixing appropriate sulfonated reactive dyes and the substance to be conjugated in a suitable solvent in which both are soluble. The dyes of the invention are readily

soluble in aqueous solutions, facilitating conjugation reactions with most biological materials. For dyes that are photoactivated, conjugation also requires illumination.

Labeled members of a specific binding pair are typically used as fluorescent probes for the complementary member of that specific binding pair, each specific binding pair member having an area on the surface or in a cavity that specifically binds to and is complementary with a particular spatial and polar organization of the other. Preferred specific binding pair members are proteins that bind non-covalently to low molecular weight ligands, such as biotin, drug-haptens and fluorescent dyes (such as an anti-fluorescein antibody). Such probes optionally contain a covalently bound moiety that is removed by an enzyme or light, or R<sup>11</sup> is H and the compound fluoresces following oxidation. Representative specific binding pairs are shown in Table 3.

Table 3. Representative Specific Binding Pairs

| antigen          | antibody                                |
|------------------|---|
| biotin           | avidin (or streptavidin or anti-biotin) |
| IgG*             | protein A or protein G                  |
| drug             | drug receptor                           |
| toxin            | toxin receptor                          |
| carbohydrate     | lectin or carbohydrate receptor         |
| peptide          | peptide receptor                        |
| protein          | protein receptor                        |
| enzyme substrate | enzyme                                  |
| DNA (RNA)        | aDNA (aRNA) <sup>†</sup>                |
| hormone          | hormone receptor                        |
| ion              | chelator                                |

\* IgG is an immunoglobulin

<sup>†</sup> aDNA and aRNA are the antisense (complementary) strands used for hybridization

In another embodiment of the invention, the sulfonated xanthene dye is substituted by a blocking moiety that substantially alters the fluorescence of the fluorophore, where the subsequent removal of the blocking moiety restores the fluorescence of the parent dye. Typically, cleavage of the blocking moiety from the dye is accomplished by enzymatic activity, making the blocked dye an enzyme substrate (for example as described by Mangel et al., U.S. Patent No. 4,557,862 (1985)). Alternatively, the blocking moiety is a photolabile caging group, such as a substituted or unsubstituted derivative of o-nitroarylmethine (including  $\alpha$ -carboxy o-nitroarylmethine (U.S. Patent No. 5,635,608 to Haugland et al. (1997)) and bis-(5-t-butoxycarbonylmethoxy)-2-nitrobenzyl), of 2-methoxy-5-nitrophenyl, or of desyl.

Enzymes that may be detected or quantitated using appropriately blocked dyes include microsomal dealkylases (for example, cytochrome P450 enzymes), glycosidases (for example  $\beta$ -galactosidase,  $\beta$ -glucosidase,  $\alpha$ -fucosidase,  $\beta$ -glucosaminidase), phosphatases, sulfatases, esterases, lipases, guanidinobenzoatases and others. Conjugates of rhodol dyes that are amino acid or peptide amides are typically useful as peptidase substrates. Where the sulfonated xanthene is conjugated to a tyramine molecule, the resulting dye-conjugate is useful as a substrate for peroxidase enzymes (as

described in U.S. Patent No. 5,196,306 to Bobrow et al. (1993)). The reduced derivatives of xanthylum dyes (i.e., those of Formula II wherein R<sup>11</sup> is H) serve as substrates for enzymes that take up electrons, or in the detection of chemical oxidizing agents, reactive oxygen species or nitric oxides. Sulfonation of the xanthene dyes provides improved water solubility for these substrates.

5

#### Applications and Methods of Use

The dye compounds of the invention are generally utilized by combining a sulfonated xanthene dye compound as described above with the sample of interest under conditions selected to yield a detectable optical response. The term "dye compound" is used herein to refer to reactive and non-reactive sulfonated xanthenes and their conjugates. The dye compound typically forms a covalent or non-covalent association or complex with an element of the sample, or is simply present within the bounds of the sample or portion of the sample. The sample is then illuminated at a wavelength selected to elicit the optical response. Typically, staining the sample is used to determine a specified characteristic of the sample by further comparing the optical response with a standard or expected response.

For biological applications, the dye compounds of the invention are typically used in an aqueous, mostly aqueous or aqueous-miscible solution prepared according to methods generally known in the art. The exact concentration of dye compound is dependent upon the experimental conditions and the desired results, but typically ranges from about one nanomolar to one millimolar or more. The optimal concentration is determined by systematic variation until satisfactory results with minimal background fluorescence is accomplished.

The dye compounds are most advantageously used to stain samples with biological components. The sample may comprise heterogeneous mixtures of components (including intact cells, cell extracts, bacteria, viruses, organelles, and mixtures thereof), or a single component or homogeneous group of components (e.g. natural or synthetic amino acid, nucleic acid or carbohydrate polymers, or lipid membrane complexes). These dyes are generally non-toxic to living cells and other biological components, within the concentrations of use, although those sulfonated dyes that are additionally substituted one or more times by Br or I are efficient photosensitizers.

The dye compound is combined with the sample in any way that facilitates contact between the dye compound and the sample components of interest. Typically, the dye compound or a solution containing the dye compound is simply added to the sample. More so than other xanthene derivatives, sulfonated xanthene derivatives tend to be impermeant to membranes of biological cells, but once inside viable cells are typically well retained. Treatments that permeabilize the plasma membrane, such as electroporation, shock treatments or high extracellular ATP can be used to introduce dye compounds into cells. Alternatively, the dye compounds are inserted into cells by pressure microinjection, scrape loading, patch clamp methods, phagocytosis, or by osmotic lysis of pinocytic vesicles.

Sulfonated xanthene dyes that incorporate an amine or a hydrazine residue can be microinjected into cells, where they can be fixed in place by aldehyde fixatives such as formaldehyde or glutaraldehyde. This fixability makes such dyes useful for intracellular applications such as neuronal tracing.

5 Solubilization of the fluorophore in water by the sulfonate moieties and their relative impermeance to membranes gives the dye compounds of the invention particular utility as polar tracers, according to methods generally known in the art for other dye compounds, see e.g. U.S. Patent No. 4,473,693 to Stewart (1984) (using lucifer yellow) and U.S. Patent No. 5,514,710 to Haugland et al. (1996) (using caged hydroxypyrenesulfonic acids). Where the sulfonated xanthene  
10 dyes is photoreactive, the resulting polar tracer is photo-fixable.

Dye compounds that possess a lipophilic substituent, such as phospholipids, will non-covalently incorporate into lipid assemblies, e.g. for use as probes for membrane structure; or for incorporation in liposomes, lipoproteins, films, plastics, lipophilic microspheres or similar materials; or for tracing. Lipophilic sulfonated xanthene dyes are useful as fluorescent probes of membrane  
15 structure, wherein the sulfonic acid moiety permits trapping of the probe at or near the membrane's surface.

Chemically reactive dye compounds will covalently attach to a corresponding functional group on a wide variety of materials. Using dye compounds (including photoreactive versions) to label reactive sites on or within cells permits the determination of their presence or quantity,  
20 accessibility, or their spatial and temporal distribution in the sample. The relative impermeance of the dyes of the invention to membranes of biological cells, give them utility as fluorescent probes for assessing the topography of protein distribution in living cells, or as an indicator of single cell viability (Example 55).

Outside of the cellular milieu, the negative charge of the dye compounds at neutral pH also  
25 facilitates the electrophoretic separation of dye-conjugates of carbohydrates, drugs and other low molecular weight compounds for analysis by capillary zone electrophoresis (CZE), HPLC or other separation techniques. Precipitation of the conjugate is minimized, even after labeling with multiple fluorophores, since the sulfonated xanthene derivatives are fully ionized at neutral pH.

The sample is optionally combined with one or more additional detection reagents. An  
30 additional detection reagent typically produces a detectable response due to the presence of a specific cell component, intracellular substance, or cellular condition, according to methods generally known in the art. Where the additional detection reagent has, or yields a product with, spectral properties that differ from those of the subject dye compounds, multi-color applications are possible. This is particularly useful where the additional detection reagent is a dye or dye-conjugate of the  
35 present invention having spectral properties that are detectably distinct from those of the other staining dye.

The compounds of the invention that are dye conjugates are used according to methods extensively known in the art; e.g. use of antibody conjugates in microscopy and immunofluorescent

assays; and nucleotide or oligonucleotide conjugates for nucleic acid hybridization assays and nucleic acid sequencing (e.g., US Patent Nos. 5,332,666 to Prober, et al. (1994); 5,171,534 to Smith, et al. (1992); 4,997,928 to Hobbs (1991); and WO Appl. 94/05688 to Menchen, et al.. Dye-conjugates of multiple independent dyes of the invention possess utility for multi-color applications.

5 Dye-conjugates of antibodies to fluorophores possess utility for amplification of fluorescence. For example, the sulfonated rhodamine dyes of the invention exhibit no crossreactivity with anti-fluorescein. The use of anti-fluorescein antibodies conjugated to green fluorescent sulfonated xanthene dyes to amplify fluorescein labels results in both amplification of signal and photostabilization, due to the high photostability of the dyes of the present invention. Labeled  
10 antibodies are also useful for multi-color applications, as the use of a red fluorescent anti-fluorescein antibody in conjunction with fluorescein labeling results in a bright, photostable red fluorescent signal.

At any time after or during staining, the sample is illuminated with a wavelength of light selected to give a detectable optical response. and observed with a means for detecting the optical  
15 response. Appropriate illuminating equipment includes, but is not limited to, hand-held ultraviolet lamps, mercury arc lamps, xenon lamps, lasers and laser diodes. These illumination sources are optionally integrated into laser scanners, fluorescence microplate readers, standard or minifluorometers, or chromatographic detectors.

A detectable optical response means a change in, or occurrence of, an optical signal that is  
20 detectable either by observation or instrumentally. Typically the detectable response is a change in fluorescence, such as a change in the intensity, excitation or emission wavelength distribution of fluorescence, fluorescence lifetime, fluorescence polarization, or a combination thereof. The degree and/or location of staining, compared with a standard or expected response, indicates whether and to what degree the sample possesses a given characteristic.

25 The optical response is optionally detected by visual inspection, or by use of any of the following devices: CCD cameras, video cameras, photographic film, laser-scanning devices, fluorometers, photodiodes, quantum counters, epifluorescence microscopes, scanning microscopes, flow cytometers, fluorescence microplate readers, or by means for amplifying the signal such as photomultiplier tubes. Where the sample is examined using a flow cytometer, examination of the  
30 sample optionally includes sorting portions of the sample according to their fluorescence response.

### Dye Synthesis

35 Xanthylum dyes are typically prepared by condensation of the appropriate resorcinol or aminophenol with various derivatives of benzoic acid, phthalic acid or phthalic anhydride or sulfobenzoic acid or anhydride. This condensation occurs in the presence or absence of various acid catalysts. An aqueous workup, typically followed by column chromatography, yields the desired xanthylum dye.

For unsymmetric xanthylium dyes, such as rhodols, unsymmetrical fluoresceins, or unsymmetrical rhodamines, condensation can be performed using one equivalent each of the appropriate substituted or unsubstituted resorcinol or aminophenol with one equivalent of a different resorcinol, aminophenol and with one equivalent of the appropriate phthalic acid derivative or benzaldehyde (as listed above) using acid catalysis (as in Khanna et al., U.S. Patent No. 4,439,359 (1984) and Haugland et al., U.S. Patent No. 5,227,487 (1993)). The desired asymmetric xanthylium dye is separated from any unwanted symmetric dye side-product using crystallization or chromatographic techniques well-known in the art.

Unsymmetric xanthylium dyes can also be constructed in a stepwise fashion: A selected resorcinol or aminophenol is condensed with one equivalent of the appropriate phthalic acid derivative or benzaldehyde to yield a benzophenone, which is typically isolated, purified and condensed with one equivalent of a different resorcinol or aminophenol, yielding the asymmetric dye.

Sulfonation of xanthylium dyes is typically carried out by stirring the dye in fuming sulfuric acid (20-30% SO<sub>3</sub> content) or concentrated sulfuric acid at an appropriate temperature. Sulfonation occurs either at the 4'- and 5'-positions, if available, or/and at the vinylic methyl groups of the xanthylium if the xanthylium dye is substituted by a vinylic substituent. Sulfonation at the 4'- and 5'-positions of fluorescein derivatives is typically carried out by stirring a solution of the desired fluorescein derivative in fuming sulfuric acid (20-30%). Fluorescein derivatives with electron-donating groups on the xanthylium ring are typically sulfonated at room temperature, while fluorescein derivatives having electron-withdrawing groups such as fluorine and chlorine on the xanthylium ring are typically sulfonated at an elevated temperature, for example at 100-110 °C. Mono-sulfonation of rhodol dyes is carried out by stirring the appropriate rhodol dye in fuming sulfuric acid at 0 °C for several hours. Bis-sulfonation of rhodols at both the 4'- and 5'-positions, if available, is achieved by stirring the dye in fuming sulfuric acid at room temperature for several hours. Sulfonation of most rhodamine or rosamine dyes at the 4'- and 5'-positions, if available, is carried out with fuming sulfuric acid at 0 °C; the sulfonation is usually complete as soon as a homogeneous solution is achieved during stirring. Where the xanthylium dye possesses a vinylic methyl group, sulfonation at the vinylic methyl is accomplished by treatment with concentrated sulfuric acid at room temperature. If the 4'- and 5'-positions are also available for sulfonation, sulfonation may occur at those positions as well, provided that fuming sulfuric acid is used as the sulfonating agent.

Post-condensation modifications of xanthylium dyes are well known. For example, the xanthene portion of the dye can be halogenated by treatment with the appropriate halogenating agent, such as liquid bromine. Xanthenes containing unsaturated fused rings can be hydrogenated to the saturated derivatives. When trimellitic anhydride or its derivatives is used in the dye synthesis, two isomeric carboxylates are typically formed. These isomers are separated or, in most cases, used as the mixture of isomers. The reduced derivatives of xanthylium dyes (i.e., those of

Formula II wherein  $R^{11}$  is H) are prepared by chemical reduction of the xanthene portion with zinc dust or borohydride in organic solvents. Similarly to nonsulfonated xanthenes, the amino and hydroxyl groups of sulfonated xanthenes can be acylated or alkylated to yield amides, esters and ethers, some of which are enzyme substrates, caged dyes or fluorescent probes.

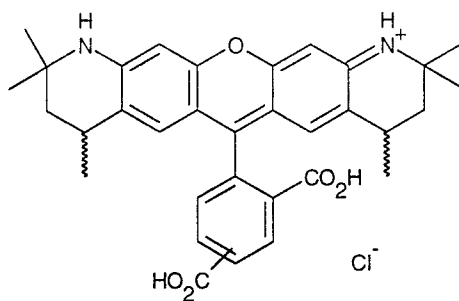
The selection of an appropriate polyhalogenated phthalic acid derivative or benzaldehyde in the condensation of the xanthylium dye results in a dye having a tetra- or pentachlorinated or tetra- or pentafluorinated phenyl ring at the 9-position. These polyhaloaryl substituted dyes have been shown to react with thiols via a displacement reaction, and thereby provide a facile method of introducing additional reactive groups (Example 19; and as discussed by Gee, et al. TET. LETT. 37, 7905 (1996)).

The dihydro-xanthene and xanthylium versions of the dyes of the invention are freely interconvertible by well-known oxidation reagents (for example molecular oxygen, nitric oxide, peroxyxynitrite, dichromate, triphenylcarbenium and chloranil) or reduction reagents (for example borohydrides, aluminum hydrides, hydrogen/catalyst, and dithionites). The xanthenes are also oxidized by enzyme action, including horseradish peroxidase in combination with peroxides or by nitric oxide.

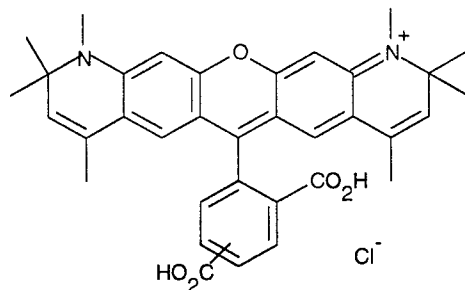
Examples of synthetic strategies for selected sulfonated fluorophores, as well as their characterization, synthetic precursors, conjugates and method of use are given below. The examples below are given so as to illustrate the practice of this invention. They are not intended to limit or define the entire scope of this invention.

## EXAMPLES

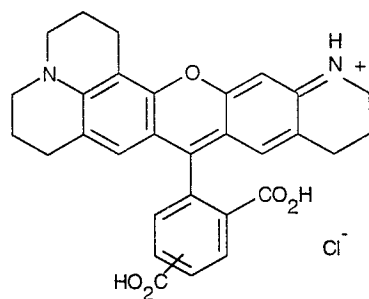
### Example 1. Preparation of Compound 2:



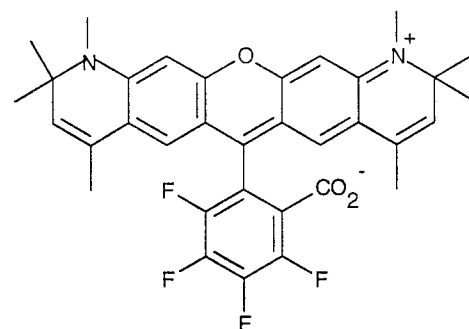
A mixture of 7-hydroxy-2,2,4-trimethyl-1,2,3,4-tetrahydroquinoline (20 g, 74 mmol), trimellitic anhydride (10 g, 52 mmol) and ZnCl<sub>2</sub> is heated to 220-230 °C with stirring for 3 hrs. 150 mL water is added to the hot reaction mixture. The resulting precipitate is filtered, washed with water (3 × 50 mL), and dried. The crude product is purified on silica gel using MeOH/CHCl<sub>3</sub>. Yield: 10 g.

Example 2. Preparation of Compound 6:

Compound 6 is prepared analogously to Compound 2 (Example 1), only using 7-hydroxy-1,2,2,4-tetramethyl-1,2-dihydroquinoline (15.2 g, 74.9 mmol), trimellitic anhydride (9.35 g, 48.7 mmol) and *p*-toluenesulfonic acid (1 g) in propionic acid (50 mL). After heating at reflux for 24 hrs, the mixture is poured into 2% HCl (2 L). The crude product is collected, dried and purified by chromatography using CHCl<sub>3</sub>:MeOH=10:1 to 10:3. (8 g, 36%).

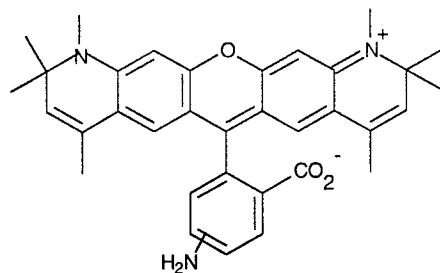
Example 3. Preparation of Compound 8:

Compound 8 is prepared analogously to Compound 6 (Example 2), only using one equivalent each of 8-hydroxyjulolidine, 7-hydroxy-1,2,3,4-tetrahydroquinoline and trimellitic anhydride.

Example 4. Preparation of Compound 15:

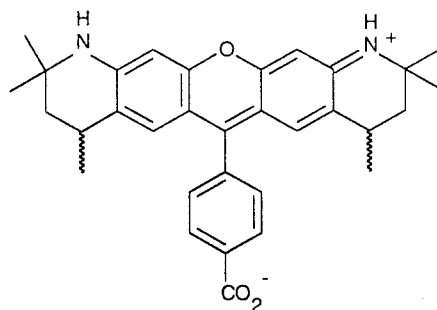
Compound 15 is prepared analogously to Compound 6 (Example 2), using tetrafluorophthalic anhydride in place of trimellitic anhydride.



Example 5. Preparation of Compound 28:

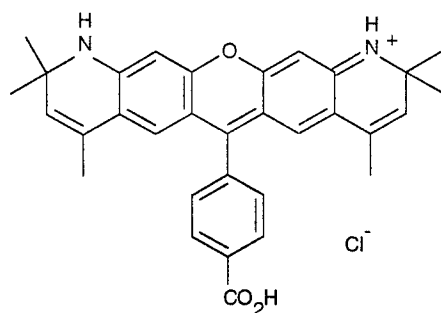
A nitro-substituted analog of Compound 6 is prepared from 7-hydroxy-N-methyl-2,2,4-trimethyl-1,2-dihydroquinoline and 4-nitrophthalic anhydride using the method described in

- 5 Example 4. Reduction of the nitro group using the method described by McKinney et al. (McKinney, et al. J. ORG. CHEM. 27, 3986 (1962)) gives Compound 28.

Example 6. Preparation of Compound 10:

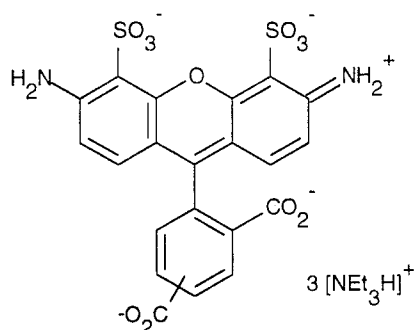
- 10 A mixture of 7-hydroxy-1,2,2,4-tetramethyl-1,2,3,4-tetrahydroquinoline hydrobromide (25.8 g, 94.9 mmol) and 4-carboxybenzaldehyde (7.1 g, 47.3 mmol) is stirred in 120 mL 70% H<sub>2</sub>SO<sub>4</sub> at 130 °C for 4 hrs. The solution is cooled to 0 °C and then neutralized to pH 7 with 70% KOH. The resulting precipitate is filtered, washed with water, and dried. The solid is suspended in 300 mL MeOH and chloranil is added (11.6 g, 47.3 mL). The suspension is heated at reflux for 2 hrs, cooled
- 15 to room temperature, and rotary evaporated to 100 mL. Ether (500 mL) is added and the precipitate is filtered. The crude product is purified by chromatography on silica gel using MeOH/CHCl<sub>3</sub>. Yield: 40%.

Example 7. Preparation of Compound 13:



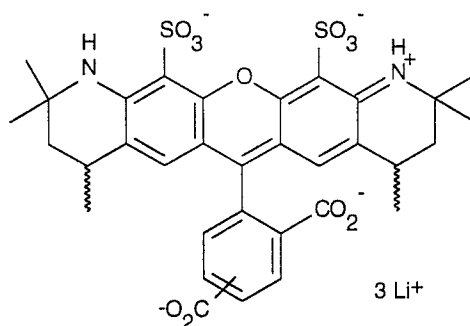
A mixture of 7-hydroxy-2,2,4-trimethyl-1,2-dihydroquinoline (6 g, 22.2 mmol) and 4-carboxybenzaldehyde (2.1 g, 14 mmol) is heated at 150-160 °C with stirring for 6-7 hrs. The mixture is dissolved in MeOH (300 mL), and evaporated to approximately 50 mL, and poured into Et<sub>2</sub>O (1.2 L). The crude product is collected and purified by chromatography on silica gel using CHCl<sub>3</sub>:MeOH=7:3. (2.5 g, 21%).

Example 8. Preparation of Compound 1:



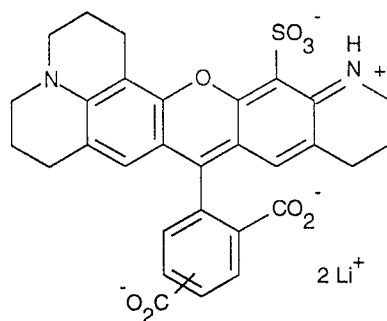
5-(and-6)-Carboxyrhodamine 110, hydrochloride (8.14 g, 19.8 mmol; Molecular Probes, Inc., Eugene, OR) is slowly added in portions to 30% fuming H<sub>2</sub>SO<sub>4</sub> (50 mL) in an ice bath. After 12 hrs. at 0 °C, the solution is poured into 600 mL cold dioxane, and 1.2 L Et<sub>2</sub>O is added. The suspension is filtered through diatomaceous earth. The filter cake is suspended in 1.2 L MeOH and the pH is adjusted to ~10 with triethylamine. The mixture is filtered and the filtrate is evaporated. The residue is purified on SEPHADEX LH-20 using water as the eluant to give Compound 1 as an orange solid (9 g).

Example 9. Preparation of Compound 3:



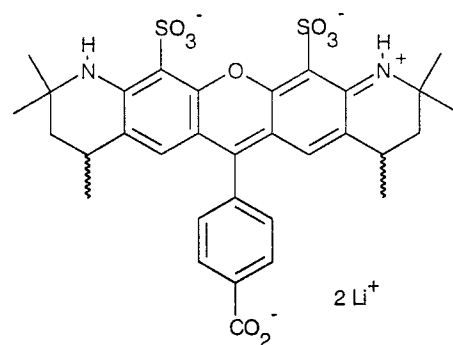
Compound 3 is prepared from Compound 2 using the method described in Example 8 except that LiOH is used to basify the MeOH suspension.

5 Example 10. Preparation of Compound 9:



Compound 9 is prepared from Compound 8 using the procedure described in Example 9.

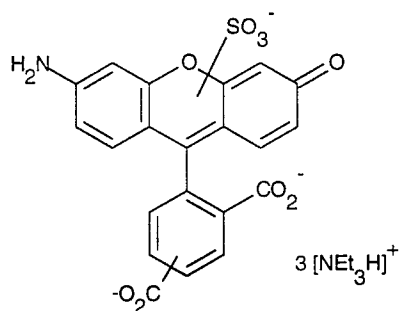
Example 11. Preparation of Compound 11:



10

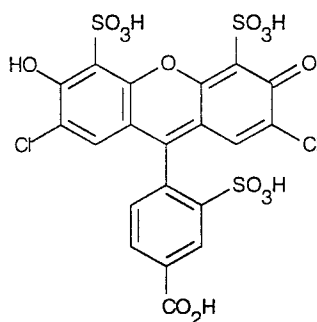
Compound 11 is prepared from Compound 10 using the procedure described in Example 9.

Example 12. Preparation of Compound 17:



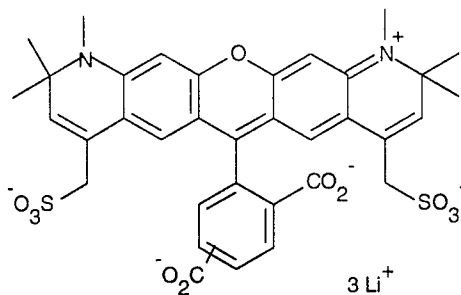
Compound 17 is prepared from 6-amino-9-(2',4'-(or-5')-dicarboxyphenyl)-3*H*-xanthene-3-one using the procedure described in Example 9. Abs: 493 nm (pH 9); Em: 518 nm (pH 9).

5 Example 13. Preparation of Compound 18:



5-Carboxy-2',7'-dichlorosulfonefluorescein (1 g, 2.1 mmol) is added to 15 mL 30% fuming H<sub>2</sub>SO<sub>4</sub>. The mixture is heated to 110 °C with stirring for 4 hrs, then cooled to room temperature and poured into ice. The precipitate is filtered then recrystallized from 6% NaCl, giving light yellow crystals. Yield: 70%.

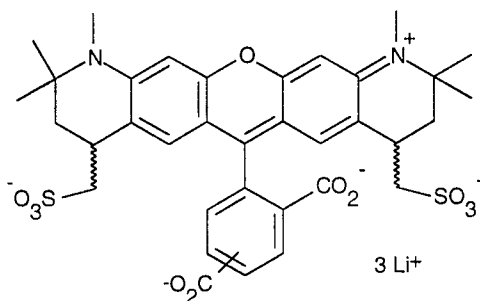
Example 14. Preparation of Compound 7:



To concentrated H<sub>2</sub>SO<sub>4</sub> (20 mL) at 0 °C is added Compound 6 (1.7 g, 3.02 mmol). The mixture is stirred at 0 °C for 2 hrs and then at room temperature for 2 days. Dioxane (30 mL) and Et<sub>2</sub>O (1 L) are added. The precipitate is filtered through diatomaceous earth. The filter cake is suspended in H<sub>2</sub>O and neutralized with solid NaHCO<sub>3</sub>. After filtration, the filtrate is evaporated and the residue is purified by chromatography on silica gel (eluant: CH<sub>3</sub>CN:H<sub>2</sub>O=8:2) followed by

chromatography on SEPHADEX LH-20 (eluant: H<sub>2</sub>O). The product is converted to a lithium salt by treatment with lithium cation exchange resin. Yield: 0.7 g (31%).

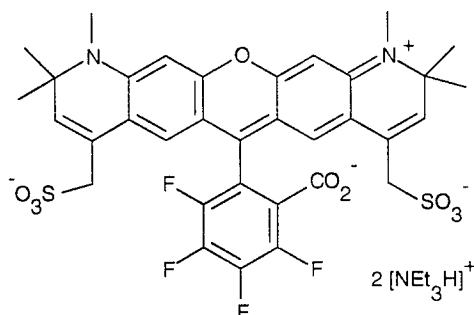
Example 15. Preparation of Compound 12:



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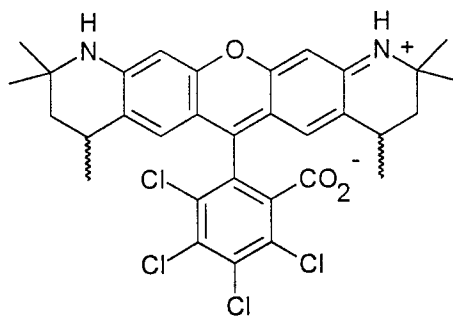
A mixture of Compound 7 (100 mg, 0.14 mmol) and 10% Pd/C (30 mg) in MeOH (10 mL) is hydrogenated at 45 psi overnight. The crude product is purified by chromatography on silica gel using CH<sub>3</sub>CN:H<sub>2</sub>O=8:2 as eluant (15 mg, 14%).

10 Example 16. Preparation of Compound 16:



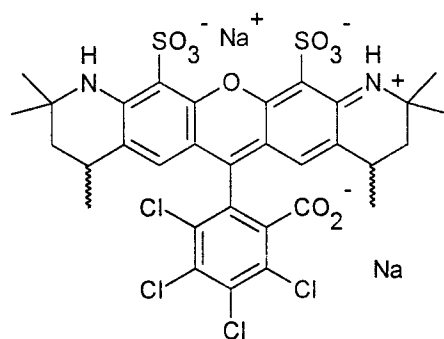
Compound 16 is prepared from Compound 15 using the method described in Example 14.

Example 17. Preparation of Compound 40:



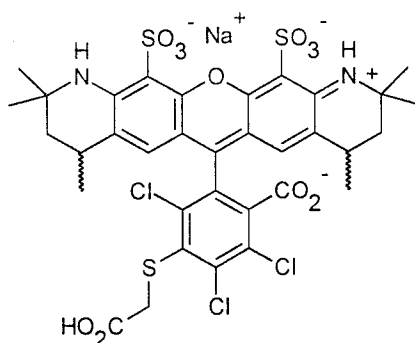
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Compound 40 is prepared from tetrachlorophthalic anhydride and 7-hydroxy-2,2,4-trimethyl-1,2,3,4-tetrahydroquinoline using the procedure described in Example 2.

Example 18. Preparation of Compound 41:

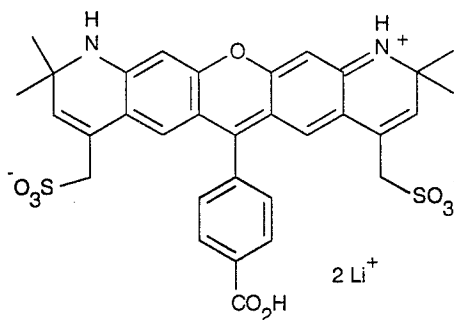
Compound 41 is prepared from Compound 40 and fuming sulfuric acid using the procedure described in Example 8. Abs: 557 (MeOH); Em: 574 nm (MeOH).

5

Example 19. Preparation of Compound 42:

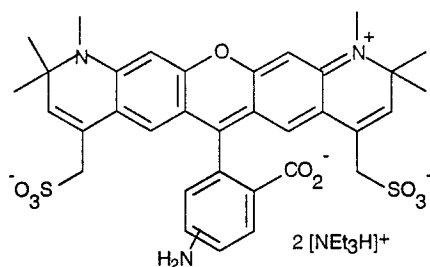
To a solution of Compound 42 (425 mg, 0.54 mmol) in 5 mL DMF under nitrogen is added mercaptoacetic acid (99 mg, 1.07 mmol) and sodium acetate (219 mg, 2.67 mmol). The solution is stirred overnight and then evaporated to dryness in vacuo. The crude product is purified by column chromatography on silica gel eluting with CH<sub>3</sub>CN:H<sub>2</sub>O = 85:15. Yield: 79%.

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Example 20. Preparation of Compound 14:

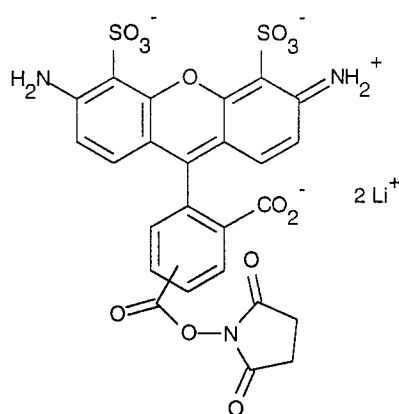
Compound 14 is prepared from Compound 13 using the procedure described in Example 14.

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Example 21. Preparation of Compound 30:

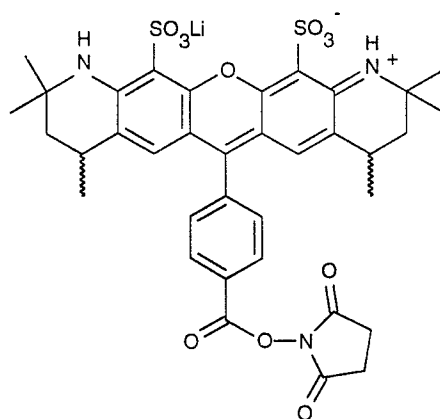
Compound 30 is prepared from Compound 28 using the method described in Example 14.

5

Example 22. Preparation of Compound 19:

To Compound 1 (200 mg, 0.36 mmol) in 10:4 DMF/ $\text{H}_2\text{O}$  (14 mL) at  $0^\circ\text{C}$  is added O-succinimidyl-N,N,N',N'-tetramethyluronium tetrafluoroborate (330 mg, 1.10 mmol) in DMF (6 mL).

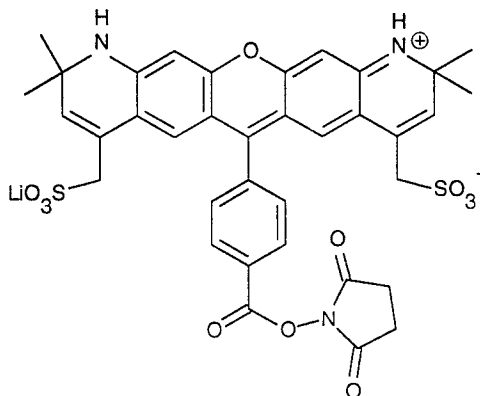
10 After 30 minutes at  $0^\circ\text{C}$ , the solution is evaporated. The residue is purified by chromatography on silica gel using  $\text{CH}_3\text{CN}:\text{H}_2\text{O}=8:2$  as eluant (60 mg, 26%).

Example 23. Preparation of Compound 20:

Compound 20 is prepared from Compound 11 using the method described in Example 22.

Yield: 80%.

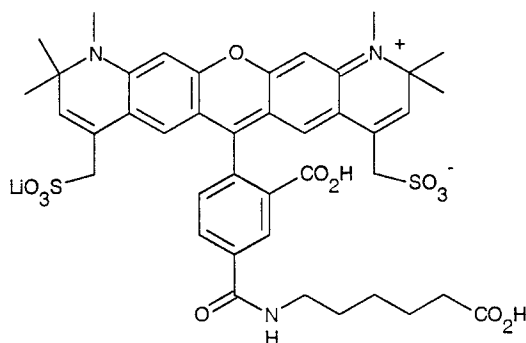
Example 24. Preparation of Compound 21:



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Compound 21 is prepared from Compound 14 using the method described in Example 22.

Example 25. Preparation of Compound 22:



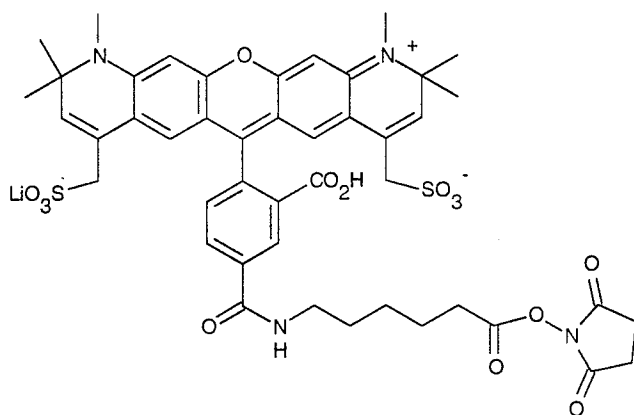
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To a solution of the succinimidyl ester of Compound 7 (prepared using the method of Example 22) (17.3 mg, 21.1  $\mu\text{mol}$ ) in  $\text{H}_2\text{O}$  (2 mL) is added aminocaproic acid (5 mg, 38  $\mu\text{mol}$ ) followed by 8 drops of  $N,N$ -diisopropylethylamine. After 15 minutes the solution is evaporated, and the residue is purified on silica gel using  $\text{CH}_3\text{CN}:\text{H}_2\text{O}=85:15$  as the eluant. The product is treated with  $\text{Li}^+$  cation exchange resin to give Compound 22 (8 mg).

15

Example 26. Preparation of Compound 23:

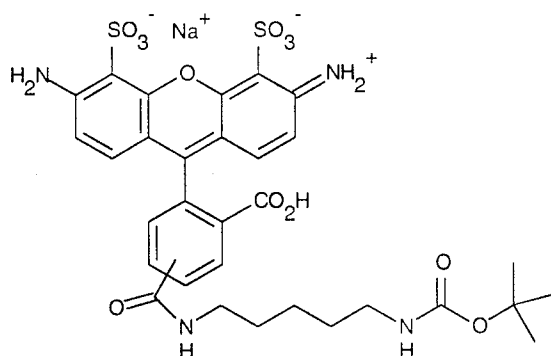




Compound 23 is prepared from Compound 22 using the method described in Example 22.

Example 27. Preparation of Compound 26:

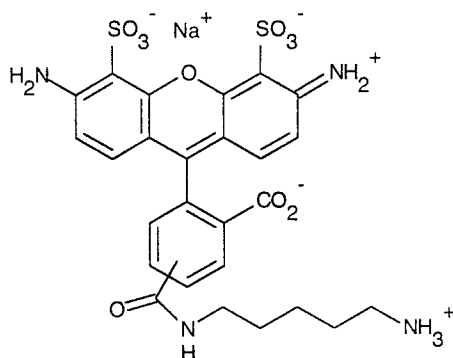
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To Compound 19 (100 mg, 0.16 mmol) in H<sub>2</sub>O (10 mL) is added N-t-BOC-cadaverine (300 mg, 1.58 mmol) in CH<sub>3</sub>CN (6 mL). After 45 minutes the mixture is evaporated to dryness. The residue is purified by silica gel chromatography using CH<sub>3</sub>CN:H<sub>2</sub>O = 85:15. The purified compound is dissolved in water and treated with Na<sup>+</sup> cation exchange resin to give Compound 26 (40 mg, 34%).

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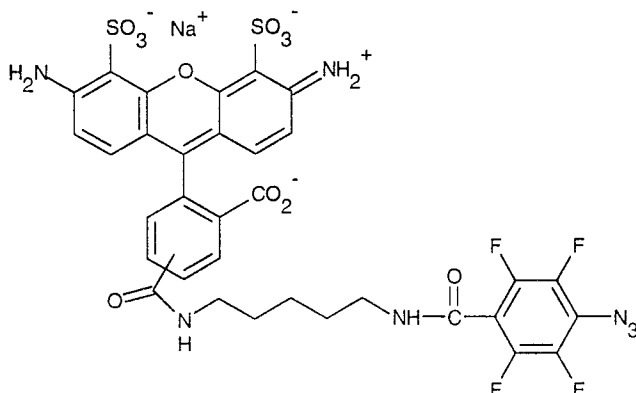
Example 28. Preparation of Compound 27:



To Compound **26** (300 mg, 0.41 mmol) at 0 °C is added cold trifluoroacetic acid (5 mL). After 15 minutes at 0 °C the mixture is evaporated. The residue is dissolved in CH<sub>3</sub>OH (20 mL) and H<sub>2</sub>O (30 mL) with Et<sub>3</sub>N (2 mL). The solution is evaporated and the residue is purified on SEPHADEX LH-20 to give Compound **27**. The Na<sup>+</sup> salt is prepared using a Na<sup>+</sup> cation exchange resin.

5

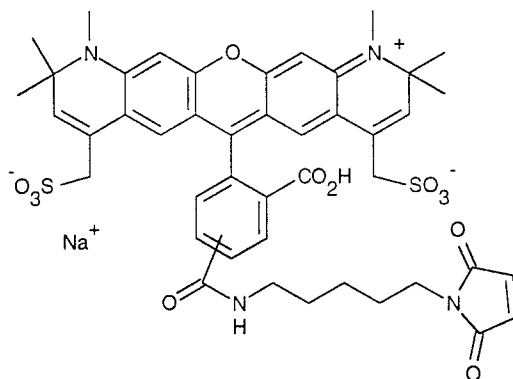
Example 29. Preparation of Compound 43:



To a solution of equimolar amounts of Compound **27** and triethylamine in DMF is added one equivalent of 4-azido-2,3,5,6-tetrafluorobenzoic acid, succinimidyl ester. After stirring for 4 hours, the reaction mixture is evaporated and the residue purified by silica gel chromatography to give Compound **43**.

10

Example 30. Preparation of Compound 24:

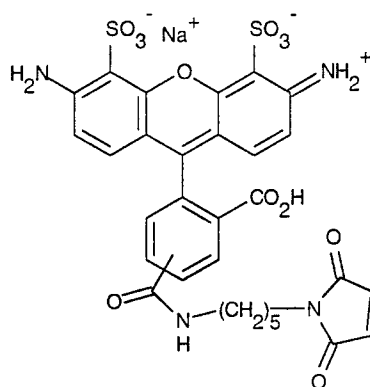


To the succinimidyl ester of Compound **7** (10 mg, 12 μmol) in H<sub>2</sub>O is added N-(5-aminopentyl)maleimide trifluoroacetate (5 mg, 17 μmol) in CH<sub>3</sub>CN followed by 1 drop of N,N-diisopropylethylamine. After 15 minutes the mixture is evaporated to dryness. The residue is purified by chromatography on silica gel using CH<sub>3</sub>CN:H<sub>2</sub>O=85:15 then converted to the Na<sup>+</sup> salt using Na<sup>+</sup> cation exchange resin giving Compound **24** (6 mg).

15

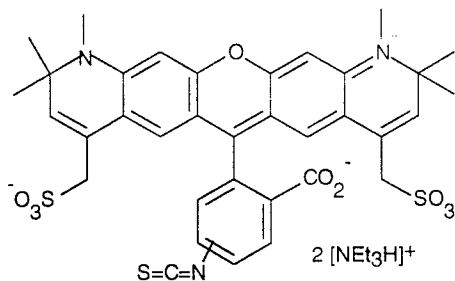
20

Example 31. Preparation of Compound 25:



Compound 25 is prepared from Compound 19 using the method described in Example 30.

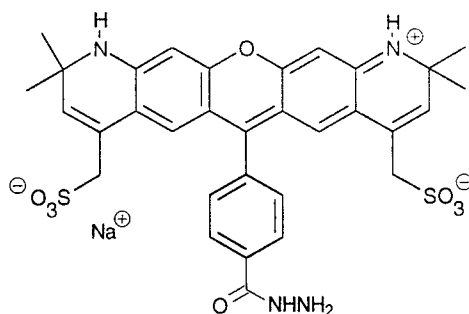
Example 32. Preparation of Compound 31:



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Compound 31 is prepared by treating Compound 30 with excess thiophosgene, using the standard method for isothiocyanate preparation.

Example 33. Preparation of Compound 33:



10

To Compound 21 (0.28 g, 0.37 mmol) in DMF (10 mL) at 0 °C is added t-butyl carbazate (0.15 g, 1.12 mmol). After 30 minutes the solution is evaporated. The intermediate is purified on silica gel using CH<sub>3</sub>CN/H<sub>2</sub>O (9:1). Trifluoroacetic acid (3 mL) is added, the solution is stirred at 0 °C for 15 min and then evaporated. The product is purified on SEPHADEX LH-20 eluting with H<sub>2</sub>O. The Na<sup>+</sup> salt is prepared by ion exchange using a Na<sup>+</sup> cation exchange resin.

15

Example 34. Preparation of a phalloidin conjugate of a sulfonated rhodamine (Compound 35):

To aminophalloidin *p*-toluenesulfonate (3.5 mg, 4  $\mu$ mol) and the succinimidyl ester of Compound 7 (5.0 mg, 5  $\mu$ mol) in DMF is added N,N-diisopropylethylamine (3  $\mu$ L, 17  $\mu$ mol). The mixture is stirred at room temperature for 3 hours. To this is added 7 mL of diethyl ether. The solid is collected by centrifugation. The crude product is purified on SEPHADEX LH-20, eluting with water to give pure Compound 35 (4.0 mg).

Example 35. Preparation of a nucleotide dye-conjugate:

To 2 mg of 5-(3-aminoallyl)-2'-deoxyuridine 5'-triphosphate (Sigma Chemical) in 100  $\mu$ L water is added 3 mg of Compound 19 in 100  $\mu$ L DMF and 5  $\mu$ L triethylamine. After 3 hours, the solution is evaporated and the residue is purified by HPLC. The product fractions are lyophilized to give the green fluorescent nucleotide conjugate (Compound 36).

Alternatively fluorescent dye-conjugates of deoxyuridine 5'-triphosphate are prepared from 5-(3-amino-1-propynyl)-2'-deoxyuridine 5'-triphosphate (as described in Hobbs, Jr. et al, *supra*).

Example 36. Preparation of an oligonucleotide dye-conjugate

A 5'-amine modified, 18-base M13 primer sequence (~100  $\mu$ g) is dissolved in 4  $\mu$ L 0.1 M Tris-EDTA buffer. To this is added 250  $\mu$ g of Compound 25 (Example 31) in 100  $\mu$ L 0.1 M sodium borate, pH 8.5. After 16 hours, 10  $\mu$ L of 5 M NaCl and 3 volumes of cold ethanol are added. The mixture is cooled to -20 °C, centrifuged, the supernatant is decanted, the pellet is rinsed with ethanol and then dissolved in 100  $\mu$ L H<sub>2</sub>O. The labeled oligonucleotide is purified by HPLC on a 300A C8 reverse-phase column using a ramp gradient of 0.1 M triethylammonium acetate (pH ~7) and acetonitrile (5→45% over 40 min). The desired peak is collected and evaporated to give the fluorescent oligonucleotide.

Example 37. Preparation of a drug dye-conjugate:

A fluorescent dopamine D<sub>2</sub> antagonist is prepared as follows: To 10 mg of *N*-(*p*-aminophenethyl)piperone (Amlaiky et al., FEBS LETT 176, 436 (1984)), and 10  $\mu$ L *N,N*-diisopropylethylamine in 1 mL of DMF is added 15 mg of Compound 31 (Example 32). After 3 hours, the reaction mixture is poured into 5 mL ether. The precipitate is centrifuged, then purified by chromatography on silica gel using 10–30% methanol in chloroform.

Example 38. Protein conjugates of sulfonated xanthene dyes:

A series of dye conjugates of goat anti-mouse IgG or streptavidin are prepared by standard means (Haugland et al., METH. MOL. BIOL. 45, 205 (1995); Haugland, METH. MOL. BIOL. 45, 223 (1995); Haugland, METH. MOL. BIOL. 45, 235 (1995)) using the reactive succinimidyl esters of the following fluorophores: Compound 1, Compound 4, Compound 5, Compound 7, Compound 14, fluorescein, and CY-3, RHODAMINE GREEN, RHODOL GREEN, RHODAMINE RED-X, AND TEXAS RED-X dyes.

A solution of the desired protein is prepared at 10 mg/mL in 0.1 M sodium bicarbonate. The labeling reagents are dissolved in DMF or water at 10 mg/mL. Predetermined amounts of the labeling reagents are added to the protein solutions with stirring. A molar ratio of 10 equivalents of dye to 1 equivalent of protein is typical, though the optimal amount varies with the particular labeling reagent, the protein being labeled and the protein's concentration, and is determined empirically. The reaction mixture is incubated at room temperature for one hour. or on ice for several hours. The dye-protein conjugate is typically separated from free unreacted reagent by size-exclusion chromatography on CELLUFINE GH-25 equilibrated with PBS. The initial, protein-containing colored band is collected and the degree of substitution is determined from the absorbance at the absorbance maximum of each fluorophore, using the extinction coefficients indicated in Table 4. The absorbance of the dye at 280 nm is subtracted from the total absorbance of the conjugate at 280 nm to get the protein's concentration. Comparison of the absorption of a goat anti-mouse IgG conjugate of Compound 5 (DOS = 7) and a goat anti-mouse IgG conjugate of tetramethylrhodamine (DOS = 4.3) at the same protein concentration is given in Figure 1. The conjugate of the present invention exhibits only one absorption peak (545 nm), whereas the tetramethylrhodamine conjugate exhibits two (558 nm and 522 nm). The 522 nm tetramethylrhodamine peak is due to the presence of nonfluorescent rhodamine dimers. The tendency of some rhodamine fluorophores to aggregate at moderate to high levels of dye substitution limits the useful signal that can be obtained from those dye-conjugate

Table 4: Extinction Coefficients for selected fluorophores of the invention

| Fluorophore | Extinction Coefficient*<br>( $\text{cm}^{-1}\text{mol}^{-1}$ ) |
|-------------|--|
| Compound 1  | 71,000   |
| Compound 4  | 80,000   |
| Compound 5  | 73,000   |
| Compound 7  | 73,000   |
| Compound 14 | 91,000   |

\*Extinction coefficients are determined for the free carboxylic acid in aqueous solution

Protein conjugates of antibody fragments, of other avidins and of other proteins are prepared

and analyzed similarly.

Example 39. Fluorescent labeling of periodate-oxidized proteins:

Two samples of 5 mg each of goat IgG antibody in 1 mL of 0.1M acetate, 0.135 M NaCl, pH 5.5 are treated with 2.1 mg of sodium metaperiodate on ice, for 1 and 2 hours, respectively. The reactions are stopped by addition of 30  $\mu$ L ethylene glycol. The antibodies are purified on a MATREX GH 25 column (1 cm  $\times$  30 cm) packed in PBS pH 7.2. One-tenth volume of 1 M sodium bicarbonate is added to increase the pH and Compound 30 (Example 21) is added at a molar ratio of dye to protein of 100:1. The reaction is stirred for 2 hours at room temperature. Sodium cyanoborohydride is added to a final concentration of 10 mM and the reaction is stirred for 4 hours at room temperature. The antibody conjugates are purified by dialysis and on MATREX GH 25 columns as described above. Antibodies that are oxidized for 1 hour typically yield a degree of substitution of 1 mole of dye per mole of IgG. Antibodies that are oxidized for 2 hours yield a degree of substitution of 1.7 mole of dye per mole of IgG.

Example 40. Total fluorescence of selected dye-protein conjugates as a function of degree of substitution:

A series of goat anti-mouse IgG conjugates is prepared as in Example 39 so as to yield derivatives with similar degrees of substitution (DOS). When measured in a fluorometer, fluorescence of the sulfonated-xanthene dye conjugates is typically higher than that of spectrally similar dyes (Table 5). As shown in Figure 2, The fluorescence emission spectra of goat anti-mouse IgG conjugates of Compound 5 (DOS 4.0) and CY-3 (DOS 3.8) at the same solution optical densities reveals substantially enhanced fluorescence by the dye-conjugate of the invention, when excited at 530 nm.

Table 5:

| Fluorophore | DOS <sup>a</sup> | QY <sub>a</sub> | Comparison Dye   | DOS <sup>a</sup> | QY <sub>b</sub> | QY <sub>a</sub> /QY <sub>b</sub> | Fluorescence Standard |
|-------------|------------------|-----------------|------------------|------------------|-----------------|----------------------------------|-----------------------|
| Compound 1  | 4.3              | 0.69            | OREGON GREEN 488 | 5.0              | 0.53            | 1.3                              | Fluorescein           |
| Compound 4  | 3.7              | 0.54            | Rhodamine 6G     | 3.0              | 0.028           | 19.3                             | Rhodamine 6G          |
| Compound 5  | 4.0              | 1.25            | CY-3             | 3.8              | 0.466           | 2.68                             | tetramethyl-rhodamine |
| Compound 14 | 4.2              | 0.37            | RHODAMINE RED-X  | 4.6              | 0.16            | 2.3                              | sulforhodamine B      |
| Compound 7  | 4.7              | 0.47            | TEXAS RED-X      | 4.4              | 0.026           | 18.1                             | Compound 7            |

a. Goat anti-mouse IgG conjugate

Furthermore, fluorescence of antibody conjugates of Compounds 1, 4, 5, 7, and 14 do not quench appreciably, even at high relatively degrees of substitution (for instance as shown in Figure 3 for Compound 7 goat anti-mouse IgG conjugate and TEXAS RED-X goat anti-mouse conjugate). Similar results are found with other peptides and proteins, including lectins, protein A, transferrin, fibronectin, enzymes, lipoproteins, glycoproteins and neuropeptides.

Example 41. Labeling R-phycoerythrin with a thiol-reactive sulfonated xanthene dye:

Pyridyldisulfide-modified R-phycoerythrin (Molecular Probes, Inc.), 0.9 mg in 160  $\mu$ L PBS, pH 7.5, is treated with tris-(2-carboxyethyl)phosphine to reduce the disulfide to a thiol. The thiolated protein is treated with 8  $\mu$ L of a 20 mg/mL solution of Compound 24 (Example 30) in DMF. Unreacted dye is removed on a spin column. The degree of substitution by the dye is estimated using  $\epsilon = 52,600 \text{ cm}^2 \text{M}^{-1}$  at 595 nm. The protein concentration is estimated from the absorbance at 488 nm, corrected for the absorbance of Compound 24 at that wavelength.

Example 42. Fluorescence energy transfer in a sulfonated-rhodamine conjugate of R-phycoerythrin:

The R-phycoerythrin conjugate of Example 41 is excited at 488 nm and compared to that of unmodified R-phycoerythrin excited at the same wavelength. Figure 4 shows highly efficient energy transfer from the protein to the sulfonated rhodamine dye. A conjugate of this complex with streptavidin is prepared essentially as described by Haugland (METH. MOL. BIOL. 45, 205 (1995), *supra*). This conjugate retains the energy transfer properties and is useful for cell staining in flow cytometers that utilize the argon-ion laser for excitation.

Example 43. Labeling and use of a wheat germ agglutinin dye-conjugate:

Wheat germ agglutinin (170 mg, EY Laboratories) is dissolved in 5 mL  $\text{Na}_2\text{CO}_3$ , pH 9.0, containing 14.9 mg *N*-acetylglucosamine. To this is added 14.8 mg of Compound 19 (Example 22). After 1 hour the solution is purified by gel filtration. A degree of substitution of 2–3 dyes per molecule is determined from the absorption at 490 nm.

*Staphylococcus aureus* is stained with the resulting conjugate (Compound 37) for 15 minutes at room temperature. Bacteria stained with Compound 37 are 1.4 times brighter than bacteria similarly stained with a fluorescein wheat germ agglutinin conjugate, when measured by quantitative microscopy. When used according to Sizemore et al. (U.S. Patent No. 5,137,810) Compound 37 can distinguish between Gram positive and Gram negative bacteria.

Example 44. Simultaneous labeling of actin and tubulin in cultured mammalian cells:

Bovine pulmonary artery cells (BPAEC) are grown to 30-50% confluence on glass. The cells are fixed with 3.7% formaldehyde, permeabilized with 0.2% Triton X-100, and blocked with 6% bovine serum albumin (BSA). All cells are incubated with mouse monoclonal anti- $\alpha$ -tubulin for 60 min. Cells are then washed and divided into two groups for staining.

The first group of cells is labeled with a conjugate of goat anti-mouse IgG and Compound 5 for 30 min, washed, and then incubated with a phalloidin dye-conjugate (Compound 35, Example 33) for an additional 30 min. The second group of cells is labeled with CY-3 conjugated goat anti-mouse IgG for 30 min and then with fluorescein-conjugated phalloidin. Both groups of cells display microtubules decorated with red fluorescence and actin filaments decorated with green fluorescence.

Quantitative intensity measurements during photobleaching of the green and red signal on both groups of cells is carried out by exposing the slide to 485 nm excitation, and acquiring the green and red cell fluorescence intensity using a CCD camera.

Fluorescein and CY-3 signals from cells mounted in PBS have lower initial intensities and/or more rapid photobleaching than Compound 35 and the anti-mouse conjugate of Compound 5, respectively. Other cellular components are optionally stained with additional dyes having distinguishable spectra. For example, cell nuclei are stained fluorescent blue using DAPI, while other cell antigens are stained deep red fluorescent with antibody conjugates of CY-5.

Example 45. Photobleaching of cells stained with sulfonated xanthene dye-conjugates:

Actin filaments are stained with Compound 35 or fluorescein phalloidin. After washing, each sample is continuously illuminated and viewed on a fluorescence microscope. Relative photobleaching rates, as shown in Figure 5, clearly demonstrate the superior photostability of the sulfonated rhodamine dye-phalloidin conjugate.

Example 46. Utility of protein dye-conjugates as immunoreagents and resistance to photobleaching:

Antibody conjugates of the dyes in Table 5 are prepared with degrees of substitution of approximately 4–6. INOVA slides are hydrated in 1% bovine serum albumin (BSA) in PBS for 30 minutes. The slide is drained, human auto-antibody is applied, the slide is incubated 30 min and rinsed in PBS. Mouse anti-human antibody is applied, the slide is incubated 30 min and rinsed in PBS. Each fluorescent anti-mouse antibody conjugate is applied as a 10  $\mu$ g/mL solution, diluted in 1% BSA/PBS. After washing and mounting, the samples are viewed through an appropriate filter. All samples give predominantly nuclear staining. Quantitative intensity measurements permit comparison of dyes. Similar results are obtained using a biotinylated anti-mouse preparation and fluorescent streptavidin conjugates.



For photobleaching measurements, one image of the slide is acquired every 5 seconds for 100 seconds with continuous illumination. Three fields of cells are bleached, and the photobleaching values are normalized and averaged (Figure 6). The antibody conjugates of the sulfonated-xanthene dyes are significantly more photostable than other dyes that have comparable spectra, including the CY-3 sulfonated-carbocyanine dye.

Example 47. Preparation and use of a fluorescent  $\alpha$ -bungarotoxin dye-conjugate:

$\alpha$ -Bungarotoxin (1 mg) in 25  $\mu$ L 0.1 M  $\text{NaHCO}_3$  is treated with 1.5 equivalents of Compound 19 (Example 22) at room temperature for 2 hours. The product is purified by size exclusion and ion exchange chromatography. Staining of acetylcholine receptors and detection of their resulting fluorescence is comparable to that of fluorescein-conjugated  $\alpha$ -bungarotoxin, except that the fluorescence of the sulfonated-xanthene dye-conjugate is brighter and more resistant to photobleaching.

Example 48. Preparation of aminodextran dye-conjugates:

70,000 MW aminodextran (50 mg) derivatized with an average of 13 amino groups, is dissolved at 10 mg/mL in 0.1 M  $\text{NaHCO}_3$ . An amine-reactive derivative of the sulfonated-xanthene dye is added so as to give dye/dextran ratio of  $\sim 12$ . After 6 hours the conjugate is purified on SEPHADEX G-50, eluting with water. Typically  $\sim 6$  moles of dye are conjugated to 70,000 g dextran.

Example 49. Preparation of fluorescent-dye labeled microspheres.

A variety of methods are used to modify polymeric microspheres with sulfonated xanthene dyes. For example, covalent coupling to amine- or carboxylic acid-modified microspheres, or binding of dye-labeled proteins to microspheres. For example, 1.0  $\mu$ m amine-derivatized polystyrene microspheres are suspended at  $\sim 2\%$  solids in 100 mM  $\text{NaHCO}_3$ , pH 8.3 and treated with 2 mg/mL of an amine-reactive sulfonated-xanthene dye. After 1 hour the microspheres are centrifuged and washed with buffer.

The larger particles can be analyzed for uniformity of staining and brightness using flow cytometry. Under a microscope the labeled beads appear as spheres with thin rings at their surface. They are particularly useful for calibration of microscopes, in particular laser-scanning confocal microscopes, and as photostable standards for flow cytometry. The microspheres can be further coupled to proteins, oligonucleotides, haptens and other biomolecules for assays using methods well known in the art. Microspheres labeled with the sulfonated-xanthene dyes appear to be more photostable than those that are surface labeled with other dyes having comparable spectra.

Example 50. Preparation of fluorescent liposomes using the dyes of the invention:

The sulfonated-xanthene dyes of the invention are sufficiently water soluble to be  
5 incorporated into the interior of liposomes by methods well known in the art (J. BIOL. CHEM. 257,  
13892 (1982) and PROC. NATL. ACAD. SCI. USA 75, 4194 (1978)). Alternatively, liposomes  
containing sulfonated-xanthenes having a lipophilic substituent (e.g. alkyl having 11-22 carbons),  
within their membranes are prepared by co-dissolving the fluorescent lipid and the unlabeled  
phospholipid(s) that make up the liposome before forming the liposome dispersion essentially as  
10 described by Szoka, Jr. et al. (ANN. REV. BIOPHYS. BIOENG. 9, 467 (1980)).

Example 51. Preparation of fluorescent dye-conjugates of bacteria:

Heat-killed *Escherichia coli* are suspended at 10 mg/mL in pH 8-9 buffer then incubated  
15 with 0.5-1.0 mg/mL of an amine-reactive sulfonated xanthene dye. After 30-60 minutes the labeled  
bacteria are centrifuged and washed several times with buffer to remove any unconjugated dye.  
Labeled bacteria that are opsonized are taken up by macrophage, as determined by flow cytometry.

Example 52. Preparing DNA hybridization probes using fluorescent nucleotide dye-conjugates:

20 For each labeling reaction, a microfuge tube containing ~1 µg of a ~700 bp Hind III - Bgl II  
fragment of the *E. coli lacZ* structural gene is heated for ~10 minutes at 95 °C to fully separate the  
strands. The DNA is cooled on ice. A 2 µL of a 2 mg/mL mixture of random sequence  
hexanucleotides in 0.5 M Tris-HCl, pH 7.2, 0.1 M MgCl<sub>2</sub>, 1 mM dithiothreitol is added, followed by 2  
25 µL of a dNTP labeling mixture (1 mM dATP, 1 mM dGTP, 1 mM dCTP, 0.65 mM dTTP and 0.35 mM  
Compound 36 (Example 35). Sterile water is added to bring the volume to 19 µL. 1 µL Klenow DNA  
polymerase (2 units/µL) is added. The samples are incubated 1 hr at 37 °C. The reactions are  
stopped with 2 µL of 0.2 M EDTA, pH 8.0. The labeled DNA is precipitated with 2.5 µL of 4 M LiCl  
and 75 µL of -20 °C ethanol. After 2 hours at -20 °C the precipitated nucleic acids are centrifuged at  
30 12,000 rpm. The pellets are washed with cold 70% ethanol, then cold 100% ethanol. The pellets are  
dried and dissolved in 10 mM Tris-HCl, pH 8.0, 1 mM EDTA. A portion of each sample is analyzed  
by gel electrophoresis on a 1% agarose minigel under standard conditions. The labeled DNA  
products are suitable for *in situ* hybridization experiments for the detection of RNA or DNA, such as  
is associated with the *E. coli lacZ* gene in cells or tissues.

35 Example 53. Incorporation of fluorescent nucleotide conjugates into DNA amplification products:

A DNA amplification reaction is prepared as follows: 1 µL each of 20 µM solutions of two

oligonucleotide primers that hybridize to the human  $\beta$ -actin gene are added to a labeling reaction containing 5  $\mu$ L DNA template (100 pmol of a plasmid containing the entire gene), 5  $\mu$ L 10X reaction buffer (100 mM Tris, pH 8.3, 500 mM KCl), 2.5  $\mu$ L 1 mM Compound 36 (Example 35), 1  $\mu$ L 10 mM dATP, 1  $\mu$ L 10 mM dCTP, 1  $\mu$ L 10 mM dGTP, 1.5  $\mu$ L 5 mM dTTP, 3  $\mu$ L 25 mM  $MgCl_2$ , and 28  $\mu$ L distilled, deionized water. The sample is transferred to a thermocycler and processed as follows: one cycle, 94 °C, 2.5 minutes; 30 cycles, 94 °C, 1 minute, 50 °C, 1 minute, 72 °C, 1 minute; one cycle, 72 °C, 5 minutes; then 4 °C overnight. An aliquot of the sample is mixed with an equal volume of 10% glycerol, loaded onto a 0.9% agarose minigel and electrophoresed. Fluorescent bands of the expected size are visible when the gel is illuminated with 300-nm ultraviolet light.

Example 54. In situ hybridization of an RNA probe:

Mouse fibroblasts are fixed and prepared for mRNA *in situ* hybridization using standard procedures. A sulfonated-xanthene RNA probe is prepared by *in vitro* transcription of a plasmid containing the mouse actin structural gene cloned downstream of a phage T3 RNA polymerase promoter. Labeling reactions consist of combining 2  $\mu$ L DNA template (1  $\mu$ g DNA), 1  $\mu$ L each of 10 mM ATP, CTP and GTP, 0.75  $\mu$ L 10 mM UTP, 2.5  $\mu$ L 1 mM Compound 36 (Example 35), 2  $\mu$ L 10X transcription buffer (400 mM Tris, pH 8.0, 100 mM  $MgCl_2$ , 20 mM spermidine, 100 mM NaCl), 1  $\mu$ L T3 RNA polymerase (40 units/ $\mu$ L), 1  $\mu$ L 2 mg/mL BSA, and 8.75  $\mu$ L water. Reactions are incubated at 37 °C for two hours.

The DNA template is removed by treatment with 20 units DNase I for 15 minutes, at 37 °C. The RNA transcript is purified by extraction with an equal volume of phenol:chloroform, 1:1, then by chromatography on SEPHADEX G50. Labeled RNA is denatured for 5 minutes at 50 °C, then hybridized to cellular preparations using standard procedures. When preparations are washed and viewed through a fluorescein filter set on a fluorescence microscope, cells expressing actin mRNA show bright green fluorescence.

Example 55. Discrimination of live and dead cells using the dyes of the invention:

Because of the polarity of the sulfonated-xanthene dyes and their relative impermeability through the membranes of live cells, the reactive dyes can be used to discriminate cells that have intact versus compromised cell membranes in a single-color assay as follows:

Mouse monocyte-macrophage, Abelson Leukemia Virus Transformed (RAW264.7) cells are trypsinized and washed with phosphate buffered saline (PBS), pH 7.2. Approximately 8–10 million cells suspended in 180  $\mu$ L of PBS, pH 7.2 are placed in a glass test tube and heated in a water bath at 50 °C for 20 minutes to kill a fraction of the cells. Approximately 60  $\mu$ L (2–3 million cells) of the cell suspension is added to 940  $\mu$ L of PBS, pH 7.2, followed by 0.1  $\mu$ L of a 1 mg/mL solution of

Compound 1 in DMSO. The mixture is incubated on ice for 30 minutes and washed twice with PBS, followed by addition of 200  $\mu$ L of PBS, pH 7.2, and 2  $\mu$ L of a 150  $\mu$ M solution of propidium iodide in water (as a control for discriminating dead cells). Analysis of the cell suspension using flow cytometry shows that the dead cells (as determined by high red fluorescence) have a mean channel  
5 fluorescence (MCF) intensity of about 3100 while the live cells have a MCF intensity of about 50.

Alternatively, following the incubation with Compound 1 the cells are fixed with 3% formaldehyde prior to analysis by flow cytometry. Fixation reduces the risk of working with pathogenic cells.

10 Example 56. Preparation and use of an anti-dye conjugate to amplify fluorescent labeling:

Polyclonal anti-fluorescein, rabbit IgG (Molecular Probes) is conjugated to Compound 19 essentially as described in Example 38 to give Compound 38. A431 cells are stained with 0.125  $\mu$ g fluorescein-conjugated epidermal growth factor (fluorescein EGF) by standard methods. After  
15 washing twice with 1% BSA in PBS containing 2 mM sodium azide (wash buffer), a portion of the cells are further stained with 3.5  $\mu$ g of Compound 38. The mixture is incubated on ice for 30 minutes, washed with the wash buffer and analyzed by flow cytometry. Results show an approximate 3-fold amplification in the cell's brightness when amplified using Compound 38 as compared to staining with fluorescein-EGF alone

20 Additional amplification of the fluorescent signal is achieved by treating the rabbit anti-fluorescein antibodies with an additional anti-rabbit antibody that has been conjugated to additional dyes of the invention (having the same or different spectral properties). Results show an approximate 11-fold amplification of the fluorescent signal when this type of sequential amplification is employed.

25 Example 57. Preparation and use of an anti-dye conjugate to quench fluorescence:

For example, antibodies to the fluorophore of Compound 1 are prepared in rabbits using standard methods and keyhole limpet hemocyanin (KLH) as the immunogen. The resulting  
30 antibodies are labeled using Compound 19. The resulting labeled antibody quenches over 90% of the fluorescence of Compound 1 in solution.

Example 58. Cell tracing using a hydrazide-labeled fluorophore:

35 Neurons from zebrafish embryos are microinjected with Compound 33 (Example 33), using standard methods as described by Blankenfeld et al. (J. NEUROSCI. METH. 36, 309 (1991)). The neurons rapidly fill with the dye throughout their volume and their red fluorescence is readily observable, even in their finer processes. The staining is fixable in the cells using formaldehyde and

standard fixing methods. Alternatively, the hydrazide dyes or their conjugates are loaded into cells using the sucrose/polyethylene glycol method described by Okada et al. (CELL, 29, 33 (1982)).

Example 59. Preparation and characterization of a cross-linked allophycocyanin conjugate:

5

A solution of chemically cross-linked allophycocyanin (Yeh et al., CYTOMETRY 8, 91 (1987)) is prepared at a concentration of 10 mg/mL solution in 0.1 M phosphate, 0.1 M NaCl at pH 7.5. A solution of Compound 19 in anhydrous DMF is prepared, at a concentration of 10 mg/mL. An amount of the dye solution is added to the allophycocyanin solution to give a molar ratio of dye to  
10 protein of 45, and the solution is stirred. After incubating at room temperature for 1 hour, the reaction is stopped by the addition of 1.5 M hydroxylamine at pH 8.0 in an amount equal to 0.1 of the reaction volume. The reaction mixture is incubated an additional 30 minutes. The energy transfer conjugate is purified by size-exclusion chromatography on BioGel P-30 (BioRad).

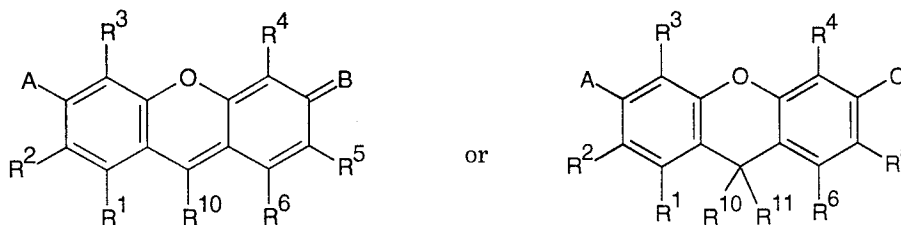
Solutions of cross-linked APC and the Compound 19 conjugate of cross-linked APC, at  
15 comparable optical densities, are excited at 488 nm and the resulting fluorescence emission spectra are recorded. Cross-linked allophycocyanin exhibits fluorescence emission at 650 nm when excited at 488 nm. Excitation of the Compound 19 conjugate of the cross-linked allophycocyanin results in substantially enhanced emission by the allophycocyanin, due to excitation of the sulfonated rhodamine at 488 nm and subsequent efficient energy transfer to the phycobiliprotein, as shown in  
20 Figure 7.

It is to be understood that, while the foregoing invention has been described in detail by way of illustration and example, numerous modifications, substitutions, and alterations are possible without departing from the spirit and scope of the invention as described in the following claims.

25

What is claimed is:

1. A compound having the formula



wherein

10  $R^2$ ,  $R^3$ ,  $R^4$  and  $R^5$  are independently H, F, Cl, Br, I, CN; or  $C_1$ - $C_{18}$  alkyl, or  $C_1$ - $C_{18}$  alkoxy, where each alkyl or alkoxy is optionally further substituted by F, Cl, Br, I, a carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a  $C_1$ - $C_6$  alcohol; or  $-SO_3X$  where X is H or a counterion

$R^1$  and  $R^6$  are H; or  $R^1$  taken in combination with  $R^2$ , or  $R^5$  taken in combination with  $R^6$ , or both, form a fused aromatic six membered ring that is optionally substituted one or more times by  $-SO_3X$ ;

15 A is  $OR^7$  or  $NR^8R^9$ ,

$R^7$  is H,  $C_1$ - $C_{18}$  alkyl;

20  $R^8$  and  $R^9$  are independently H,  $C_1$ - $C_6$  alkyl,  $C_1$ - $C_6$  carboxyalkyl,  $C_1$ - $C_6$  sulfoalkyl, a salt of  $C_1$ - $C_6$  carboxyalkyl, or a salt of  $C_1$ - $C_6$  sulfoalkyl, wherein the alkyl portions are optionally substituted by amino, hydroxy, carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a  $C_1$ - $C_6$  alkyl; or  $R^8$  in combination with  $R^9$  forms a saturated 5- or 6-membered heterocycle that is a piperidine, a morpholine, a pyrrolidine or a piperazine, each of which is

25 optionally substituted by methyl, carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a  $C_1$ - $C_6$  alkyl;

or  $R^8$  in combination with  $R^2$ , or  $R^9$  in combination with  $R^3$ , or both, form a 5- or 6-membered ring that is saturated or unsaturated, and is optionally substituted by one or more  $C_1$ - $C_6$

30 alkyls or  $-CH_2SO_3X$  moieties;

C is  $OR^{17}$  or  $NR^{18}R^{19}$ ;

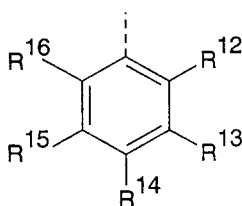
where R<sup>17</sup> is H, or C<sub>1</sub>-C<sub>18</sub> alkyl;

where R<sup>18</sup> and R<sup>19</sup> are independently H, C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, a salt of C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, or a salt of C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, wherein the alkyl portions are optionally substituted by amino, hydroxy, carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alkyl; or R<sup>18</sup> in combination with R<sup>19</sup> forms a saturated 5- or 6-membered heterocycle that is a piperidine, a morpholine, a pyrrolidine or a piperazine, each of which is optionally substituted by methyl, carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alkyl;

or R<sup>18</sup> in combination with R<sup>4</sup>, or R<sup>19</sup> in combination with R<sup>5</sup>, or both, form a 5- or 6-membered ring that is saturated or unsaturated, and is optionally substituted by one or more C<sub>1</sub>-C<sub>6</sub> alkyls or -CH<sub>2</sub>SO<sub>3</sub>X moieties;

B is O or N<sup>+</sup>R<sup>18</sup>R<sup>19</sup>;

R<sup>10</sup> is H, F, CN, a carboxylic acid, a salt of carboxylic acid, or a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alcohol; or R<sup>10</sup> is a saturated or unsaturated C<sub>1</sub>-C<sub>18</sub> alkyl that is optionally substituted one or more times by F, Cl, Br, carboxylic acid, a salt of carboxylic acid, a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alcohol, -SO<sub>3</sub>X, amino, alkylamino, or dialkylamino, the alkyl groups of which have 1-6 carbons; or R<sup>10</sup> has the formula



where R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> are independently H, F, Cl, Br, I, -SO<sub>3</sub>X, a carboxylic acid, a salt of carboxylic acid, CN, nitro, hydroxy, azido, amino, hydrazino; or C<sub>1</sub>-C<sub>18</sub> alkyl, C<sub>1</sub>-C<sub>18</sub> alkoxy, C<sub>1</sub>-C<sub>18</sub> alkylthio, C<sub>1</sub>-C<sub>18</sub> alkanoylamino, C<sub>1</sub>-C<sub>18</sub> alkylaminocarbonyl, C<sub>2</sub>-C<sub>36</sub> dialkylaminocarbonyl, C<sub>1</sub>-C<sub>18</sub> alkyloxycarbonyl, or C<sub>6</sub>-C<sub>18</sub> arylcarboxamido, the alkyl or aryl portions of which are optionally substituted one or more times by F, Cl, Br, I, hydroxy, carboxylic acid, a salt of carboxylic acid, a carboxylic acid ester of a C<sub>1</sub>-C<sub>6</sub> alcohol, -SO<sub>3</sub>X, amino, alkylamino, dialkylamino or alkoxy, the alkyl portions of each having 1-6 carbons; or one pair of adjacent substituents R<sup>13</sup> and R<sup>14</sup>, R<sup>14</sup> and R<sup>15</sup> or R<sup>15</sup> and R<sup>16</sup>, when taken in combination, form a fused 6-membered aromatic ring that is optionally further substituted

by carboxylic acid, or a salt of carboxylic acid; and

R<sup>11</sup> is H, hydroxy, CN or a C<sub>1</sub>-C<sub>6</sub> alkoxy; or R<sup>10</sup> in combination with R<sup>11</sup> forms a 5-membered  
spiro lactone ring or a 5-membered spiro sultone ring; or R<sup>11</sup> in combination with R<sup>12</sup> forms a 5- or 6-  
5 membered spiro lactone ring or a 5- or 6-membered spiro sultone ring that is optionally and  
independently substituted by H, F or CH<sub>3</sub>; or R<sup>10</sup> when taken in combination with R<sup>11</sup> is a carbonyl  
oxygen;

provided that where A is OR<sup>7</sup>, R<sup>12</sup>, if present, is -SO<sub>3</sub>X; and

provided that at least one of R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, and R<sup>5</sup> is -SO<sub>3</sub>X; or R<sup>8</sup> in combination with R<sup>2</sup>, or R<sup>9</sup> in  
combination with R<sup>3</sup>, or R<sup>18</sup> in combination with R<sup>4</sup>, or R<sup>19</sup> in combination with R<sup>5</sup>, form a 5- or 6-  
membered ring that is saturated or unsaturated, and is substituted by at least one -CH<sub>2</sub>SO<sub>3</sub>X  
moiety.

2. A compound, as claimed in Claim 1, wherein one or more of R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>12</sup>, R<sup>13</sup>,  
R<sup>14</sup>, R<sup>15</sup>, R<sup>16</sup>, R<sup>17</sup>, R<sup>18</sup>, or R<sup>19</sup> is or is modified to be -L-R<sub>x</sub> or -L-S<sub>c</sub>;

wherein each L is optionally the same or different and is a covalent linkage;

each R<sub>x</sub> is optionally the same or different and is a reactive group; and

each S<sub>c</sub> is optionally the same or different and is a conjugated substance.

3. A compound, as claimed in Claim 2, wherein one of R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup>, and R<sup>16</sup> is -L-R<sub>x</sub> or -L-S<sub>c</sub>.

4. A compound, as claimed in Claim 2, wherein one of R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, R<sup>17</sup>, R<sup>18</sup>, and R<sup>19</sup> is -L-R<sub>x</sub> or -L-S<sub>c</sub>.

5. A compound, as claimed in Claim 2, wherein

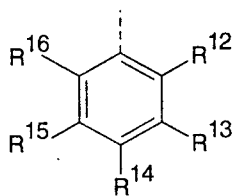
each L is independently a single covalent bond, or L is a covalent linkage having 1-24 nonhydrogen  
atoms selected from the group consisting of C, N, O, P, and S and is composed of any combination of  
single, double, triple or aromatic carbon-carbon bonds, carbon-nitrogen bonds, nitrogen-nitrogen  
bonds, carbon-oxygen bonds, carbon-sulfur bonds, phosphorus-oxygen bonds, and phosphorus-  
35 nitrogen bonds;

each R<sub>x</sub> is an acrylamide, an activated ester of a carboxylic acid, a hydroxy, an aldehyde, an alkyl  
halide, a sulfonate, an amine, an anhydride, an aniline, an aryl halide, an azide, an aziridine, a



boronate, a carboxylic acid, a carbodiimide, a diazoalkane, an epoxide, a glycol, a haloacetamide, a halotriazine, a hydrazine, a hydroxylamine, an imido ester, an isocyanate, an isothiocyanate, a ketone, a maleimide, a phosphoramidite, a sulfonyl halide, or a thiol group; and

- 5 each  $S_c$  is an amino acid, peptide, protein, monosaccharide, disaccharide, polysaccharide, ion-complexing moiety, nucleotide, nucleic acid polymer, hapten, drug, lipid, lipid assembly, non-biological organic polymer, polymeric microparticle, animal cell, plant cell, bacterium, yeast, virus, or protist.
- 10 6. A compound, as claimed in Claim 2, wherein L is a single covalent bond and  $R_x$  is a carboxylic acid, an activated ester of a carboxylic acid, an amine, an azide, a hydrazine, a haloacetamide, an alkyl halide, an isothiocyanate, or a maleimide group.
- 15 7. A compound, as claimed in Claim 2, wherein  $S_c$  is a peptide, protein, polysaccharide, nucleotide, or nucleic acid polymer.
8. A compound, as claimed in Claim 7, wherein  $S_c$  is an antibody, an avidin, a streptavidin, a lectin, a growth factor, an actin, or a toxin.
- 20 9. A compound, as claimed in Claim 7, wherein  $S_c$  is a phycobiliprotein that is optionally chemically cross-linked.
10. A compound, as claimed in Claim 9, wherein the phycobiliprotein is an allophycocyanin.
- 25 11. A compound, as claimed in Claim 9, wherein the phycobiliprotein further comprises a chemically reactive group or a conjugated substance.
12. A compound, as claimed in Claim 7, 8, 9, 10, or 11 wherein  $S_c$  is further substituted by one or more additional fluorescent or non-fluorescent dyes.
- 30 13. A compound, as claimed in any of Claims 1-9, wherein  $R^3$  and  $R^4$  are each  $-SO_3X$ .
14. A compound, as claimed in Claim 13, wherein A is  $OR^7$ , B is O, C is  $OR^{17}$ , and  $R^2$  and  $R^5$  are independently F or Cl.
- 35 15. A compound, as claimed in any of Claims 1-9, wherein  $R^{10}$  has the formula



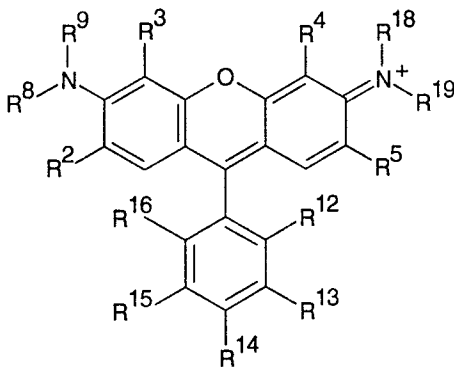
wherein  $R^{12}$  is a carboxylic acid, a salt of carboxylic acid, or  $-SO_3X$ ;  $R^{11}$  is not present; and either

- 5 (i) at least three of  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$ , and  $R^{16}$  are F or Cl; or
- (ii) one of  $R^{14}$  and  $R^{15}$  is a carboxylic acid or salt of a carboxylic acid or is  $-S-(CH_2)_nCOOH$ , wherein  $n$  is 1-15, and the other of  $R^{14}$  or  $R^{15}$  is H, F or Cl.
- 10 16. A compound, as claimed in any of Claims 1-9, wherein A is  $NR^8R^9$ , B is O and C is  $OR^{17}$ .
17. A compound, as claimed in any of Claims 1-9, wherein A is  $NR^8R^9$ , B is  $N^+R^{18}R^{19}$ , and C is  $NR^{18}R^{19}$ ; and either
- 15 (i)  $R^8$  in combination with  $R^2$  forms a 5- or 6-membered ring that is saturated or unsaturated, and is optionally substituted by one or more  $C_1-C_6$  alkyls or  $-CH_2SO_3X$  moieties; and  $R^{19}$  in combination with  $R^5$  forms a 5- or 6-membered ring that is saturated or unsaturated, and is optionally substituted by one or more  $C_1-C_6$  alkyls or  $-CH_2SO_3X$  moieties; or
- 20 (ii)  $R^9$  and  $R^{18}$  are independently H,  $C_1-C_6$  carboxyalkyl, a salt of  $C_1-C_6$  carboxyalkyl,  $C_1-C_6$  sulfoalkyl, or a salt of  $C_1-C_6$  sulfoalkyl; or
- (iii)  $R^8$  in combination with  $R^2$ , and  $R^{19}$  in combination with  $R^5$ , each form a 5- or 6-
- 25 membered ring that is saturated; and  $R^3$  and  $R^4$  are each  $-SO_3X$ ; or
- (iv)  $R^8$  in combination with  $R^2$  forms a 5- or 6-membered ring that is saturated or unsaturated, and is substituted by  $-CH_2SO_3X$ ; and  $R^{19}$  in combination with  $R^5$  forms a 5- or 6-membered ring that is saturated or unsaturated, and is substituted by  $-CH_2SO_3X$ .
- 30 18. A compound, as claimed in any of Claims 1-9, wherein
- $R^1$ ,  $R^2$ ,  $R^5$ , and  $R^6$  are H;

R<sup>3</sup> and R<sup>4</sup> are each -SO<sub>3</sub>X; and

R<sup>8</sup>, R<sup>9</sup>, R<sup>18</sup>, and R<sup>19</sup> are H, C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, a salt of C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, or a salt of C<sub>1</sub>-C<sub>6</sub> sulfoalkyl.

19. A compound, as claimed in any of Claims 1-9, having the formula



wherein

R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are independently H, F, Cl, Br, I, C<sub>1</sub>-C<sub>18</sub> alkyl, C<sub>1</sub>-C<sub>18</sub> alkoxy, or -SO<sub>3</sub>X;

R<sup>8</sup> and R<sup>9</sup> are independently H, C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, a salt of C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, or a salt of C<sub>1</sub>-C<sub>6</sub> sulfoalkyl; or R<sup>8</sup> in combination with R<sup>2</sup> forms a 5- or 6-membered ring that is saturated or unsaturated, and that is optionally substituted by one or more C<sub>1</sub>-C<sub>6</sub> alkyls or -CH<sub>2</sub>SO<sub>3</sub>X moieties;

R<sup>18</sup> and R<sup>19</sup> are independently H, C<sub>1</sub>-C<sub>6</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, a salt of C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, C<sub>1</sub>-C<sub>6</sub> sulfoalkyl, or a salt of C<sub>1</sub>-C<sub>6</sub> sulfoalkyl; or R<sup>19</sup> in combination with R<sup>5</sup> forms a 5- or 6-membered ring that is saturated or unsaturated, and that is optionally substituted by one or more C<sub>1</sub>-C<sub>6</sub> alkyls or -CH<sub>2</sub>SO<sub>3</sub>X moieties;

R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> are independently H, Cl, F, amino, nitro, -SO<sub>3</sub>X, a carboxylic acid, a salt of carboxylic acid, or -S-(CH<sub>2</sub>)<sub>n</sub>COOH where n = 1-15;

provided that either

(i) R<sup>3</sup> and R<sup>4</sup> are each -SO<sub>3</sub>X; or

(ii) R<sup>8</sup> in combination with R<sup>2</sup> forms a 5- or 6-membered ring that is saturated or unsaturated, and is substituted -CH<sub>2</sub>SO<sub>3</sub>X; and R<sup>19</sup> in combination with R<sup>5</sup> forms a 5- or 6-membered ring that is saturated or unsaturated, and is substituted by -CH<sub>2</sub>SO<sub>3</sub>X.

20. A method of staining a biological sample, comprising the steps of:

a) combining a dye solution comprising a compound as claimed in any of claims 1-18 with a biological sample in a concentration sufficient to yield a detectable optical response under the desired conditions;

b) illuminating said sample at a wavelength selected to elicit said optical response.

21. A method, as claimed in Claim 20, further comprising

(i) combining the sample with an additional detection reagent that has spectral properties that are detectably different from said optical response; and/or

(ii) determining a characteristic of the sample by comparing the optical response with a standard response parameter; and/or

(iii) tracing the temporal or spatial location of the optical response within the sample.

22. A method, as claimed in Claim 21(ii), wherein the sample comprises cells, and the characteristic determined is the viability of the cells.

23. A method, as claimed in Claim 20, wherein the sample comprises cells, and the step of combining comprises electroporation, shock treatment, high extracellular ATP, pressure microinjection, scrape loading, patch clamp loading, phagocytosis, or osmotic lysis of pinocytic vesicles.

24. A kit, comprising one or more compounds as claimed in any of claims 1-19.

25. A complex, comprising a dye-conjugate as claimed in any of claims 2-18 wherein S<sub>c</sub> is a member of a specific binding pair that is associated non-covalently with the complementary member of the specific binding pair.

26. A complex, as claimed in Claim 25, wherein the specific binding pair is selected from the following pairs:

antigen—antibody

5 biotin—avidin

biotin—streptavidin

biotin—anti-biotin

immunoglobulin G—protein A

immunoglobulin G—protein G

10 drug—drug receptor

toxin—toxin receptor

carbohydrate—lectin

carbohydrate—carbohydrate receptor

peptide—peptide receptor

15 protein—protein receptor

enzyme substrate—enzyme

DNA—aDNA

RNA—aRNA

hormone—hormone receptor

20 ion—chelator.

Figure 1

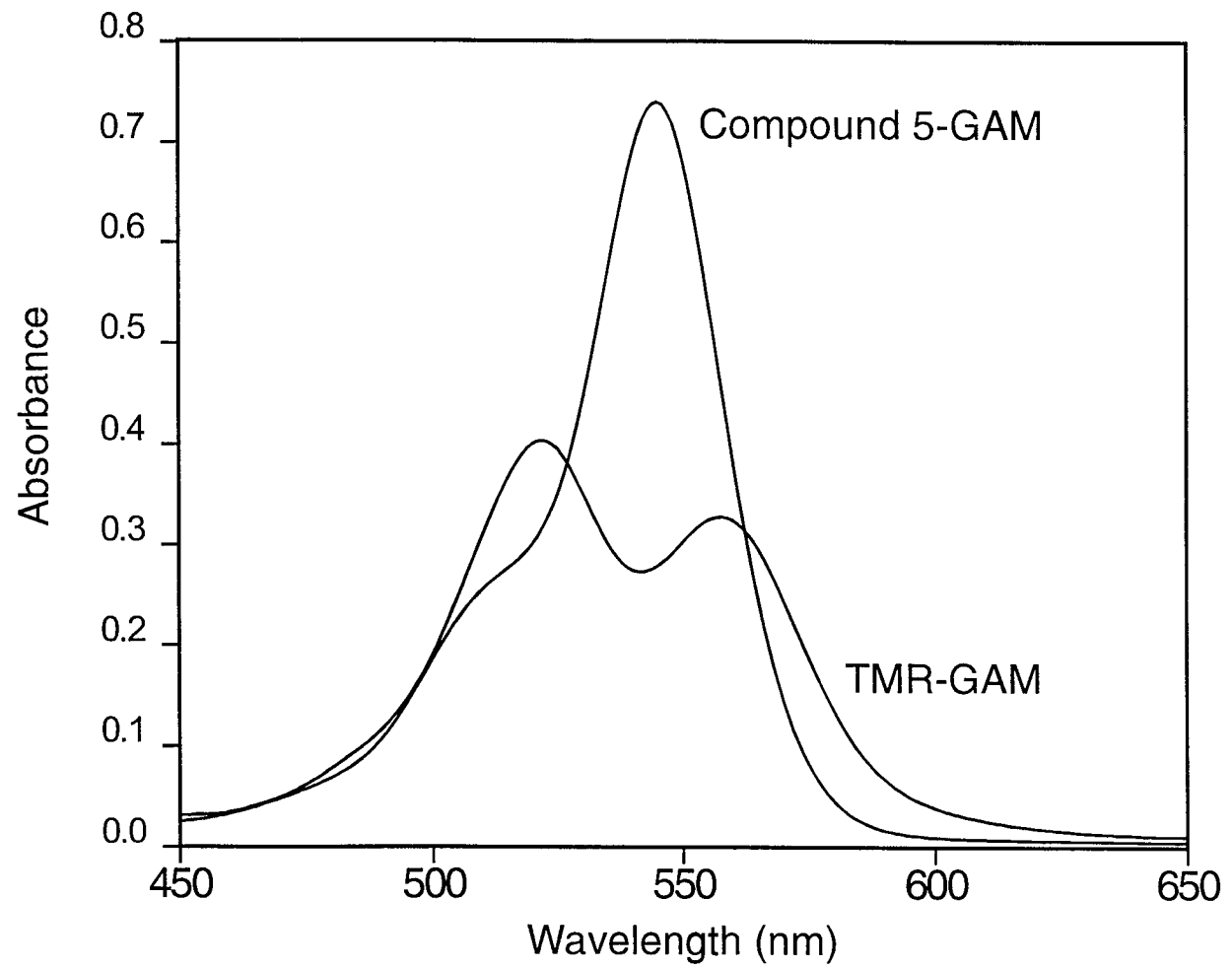


Figure 2

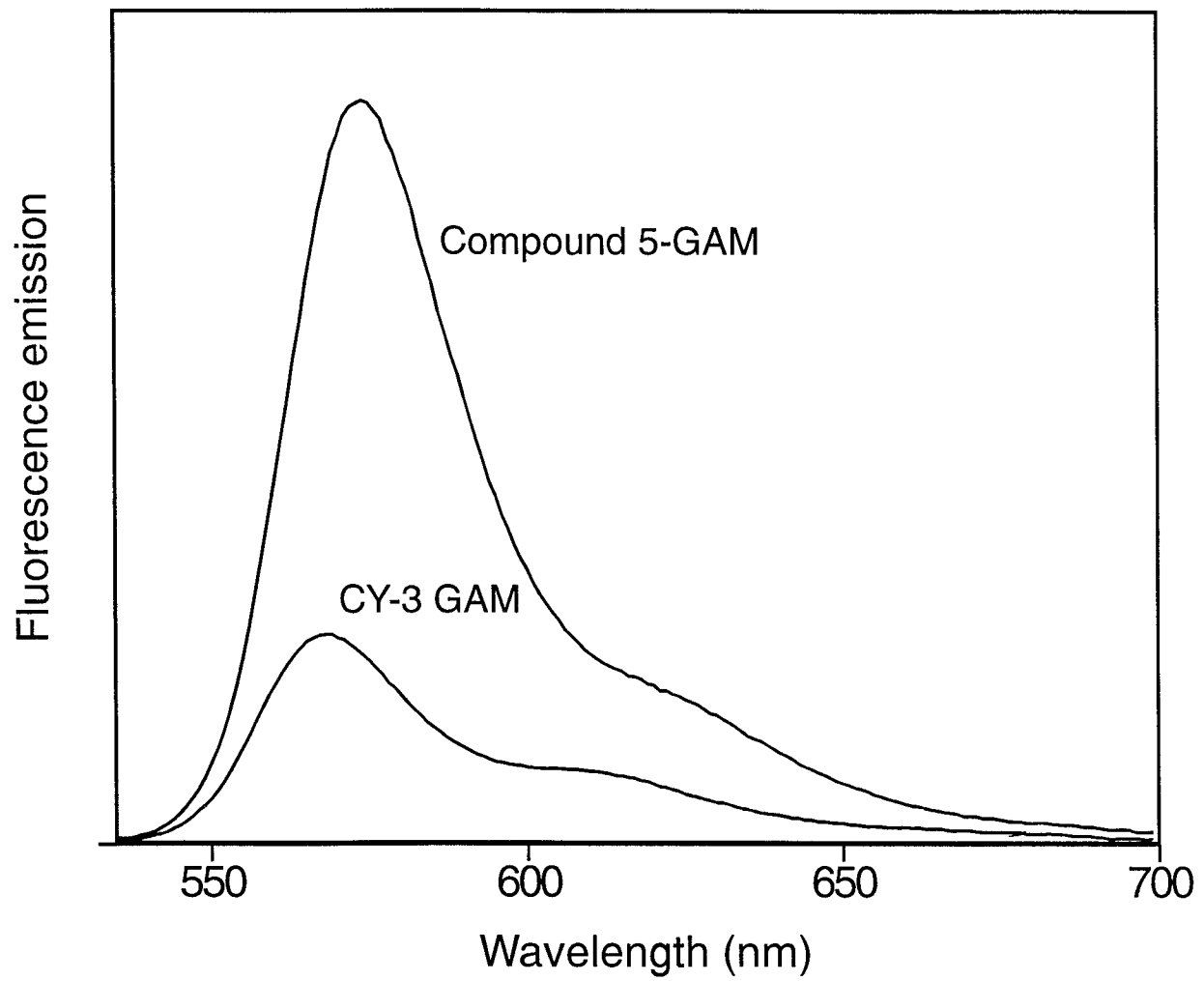


Figure 3

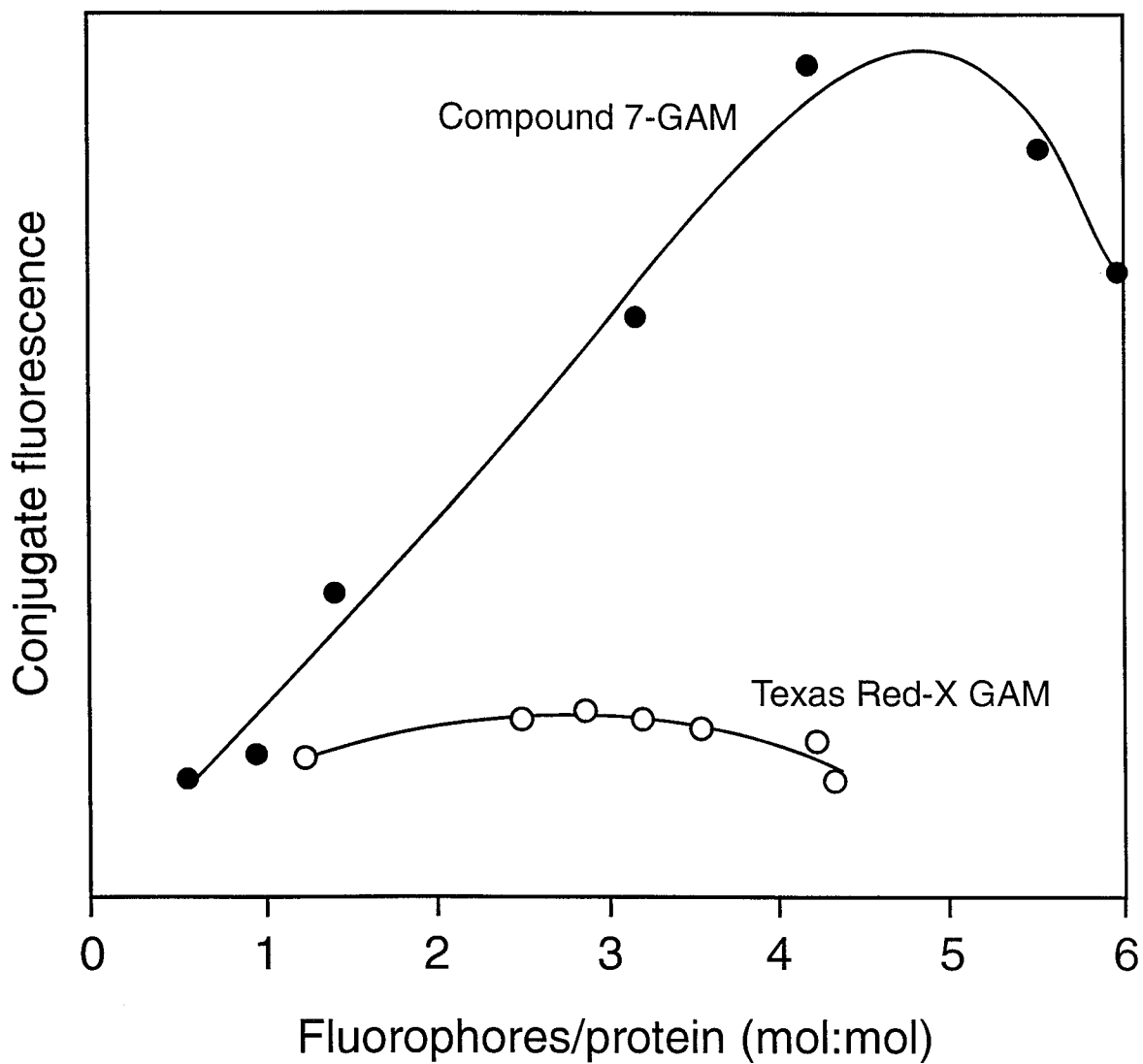




Figure 4

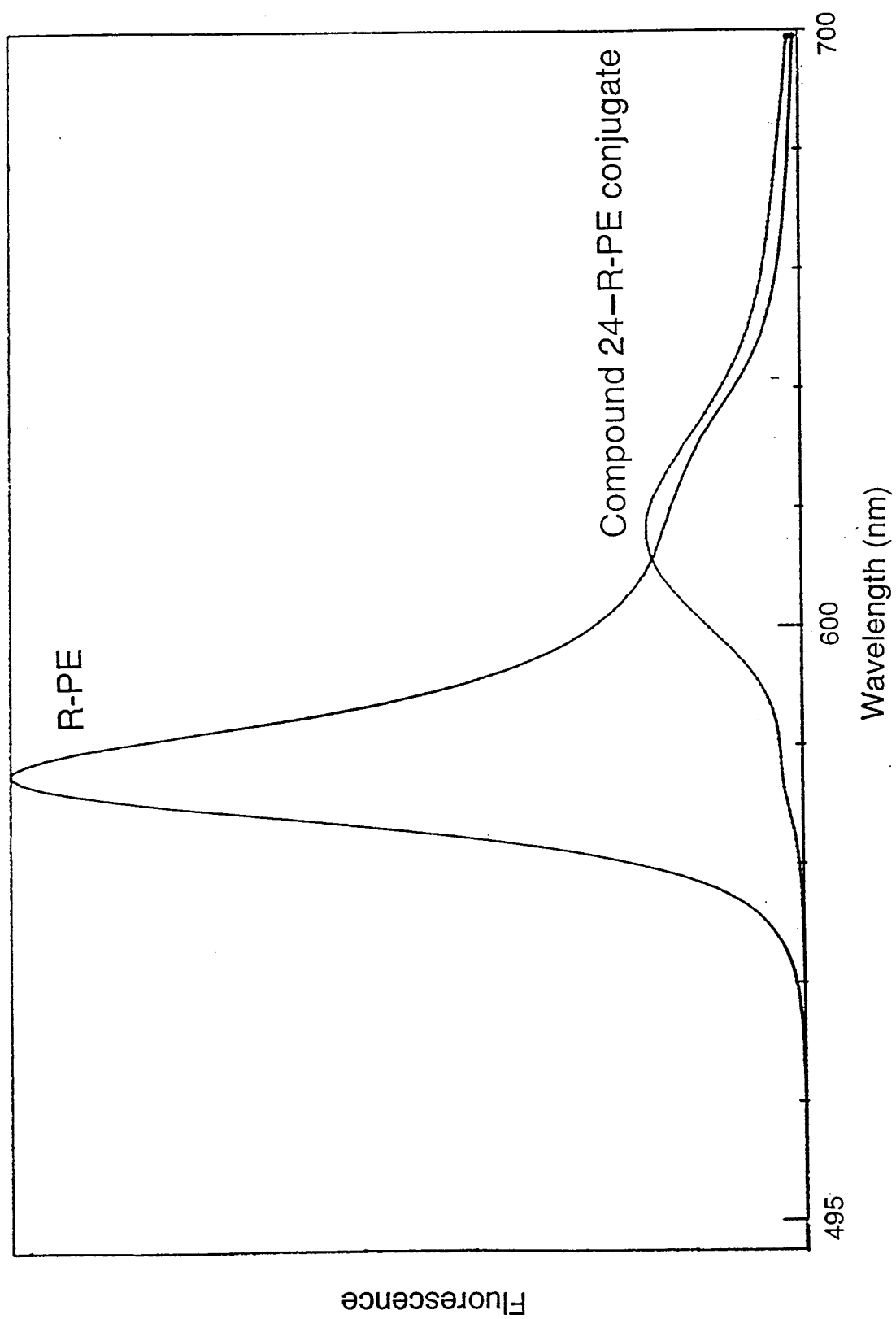
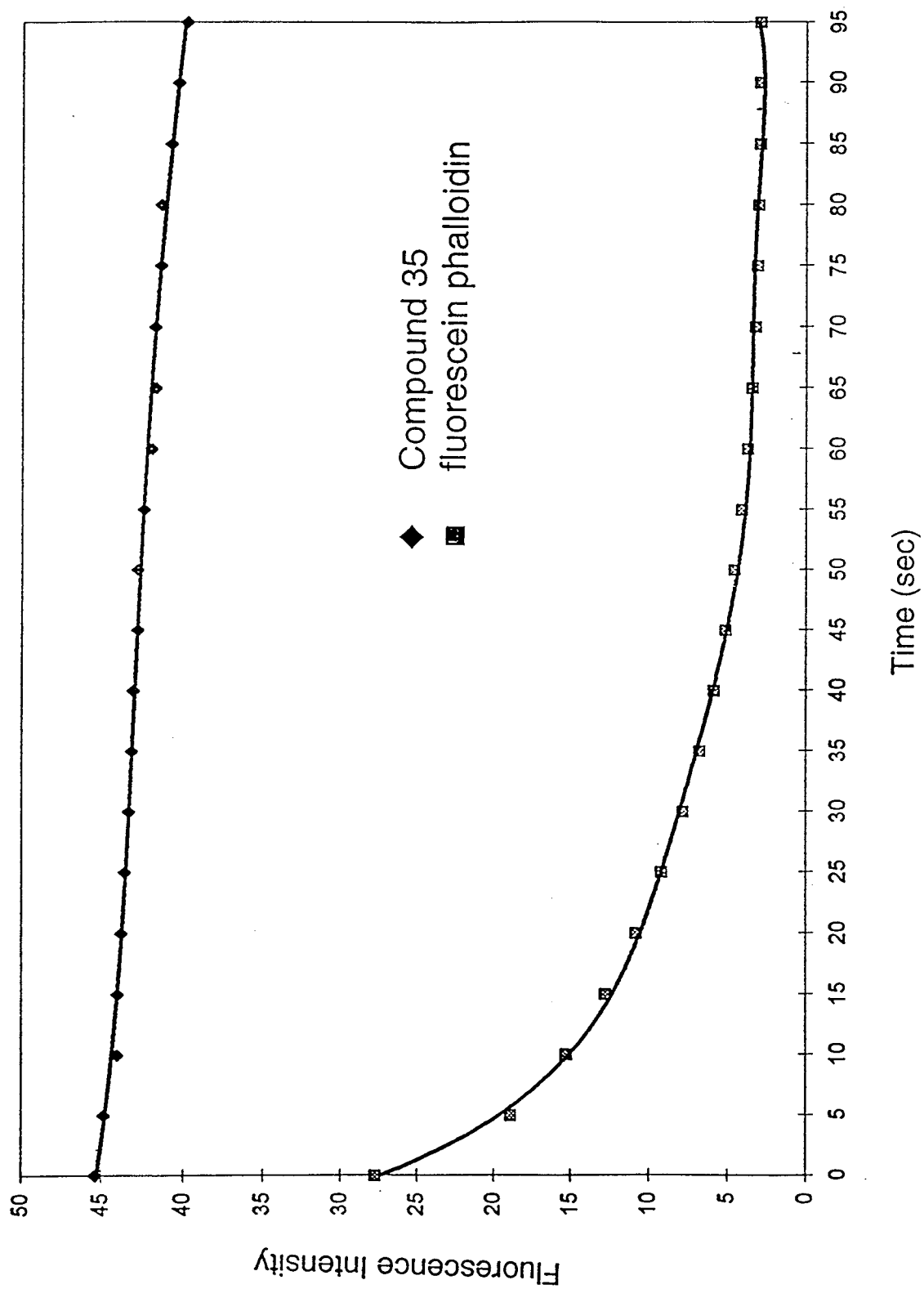


Figure 5



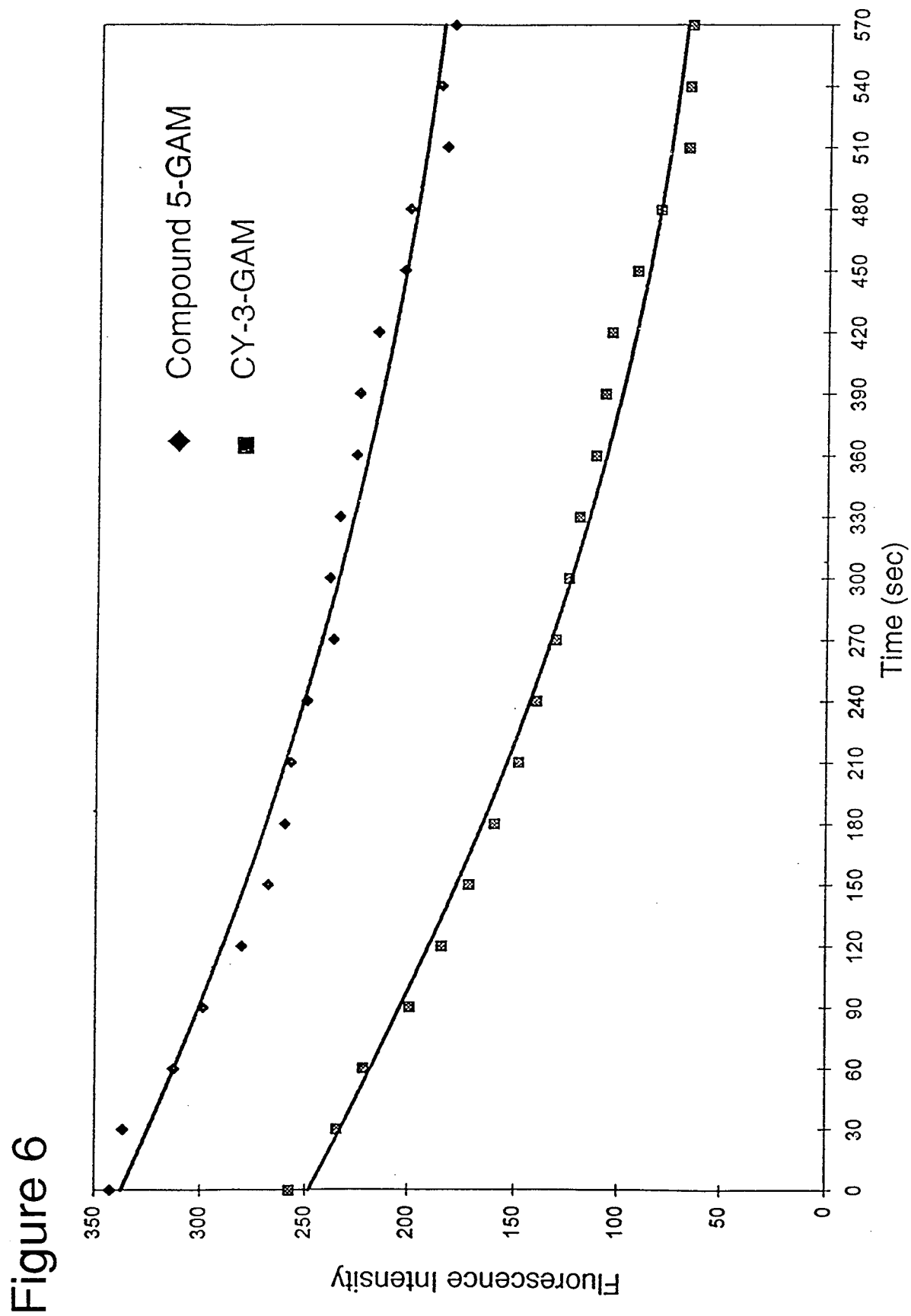
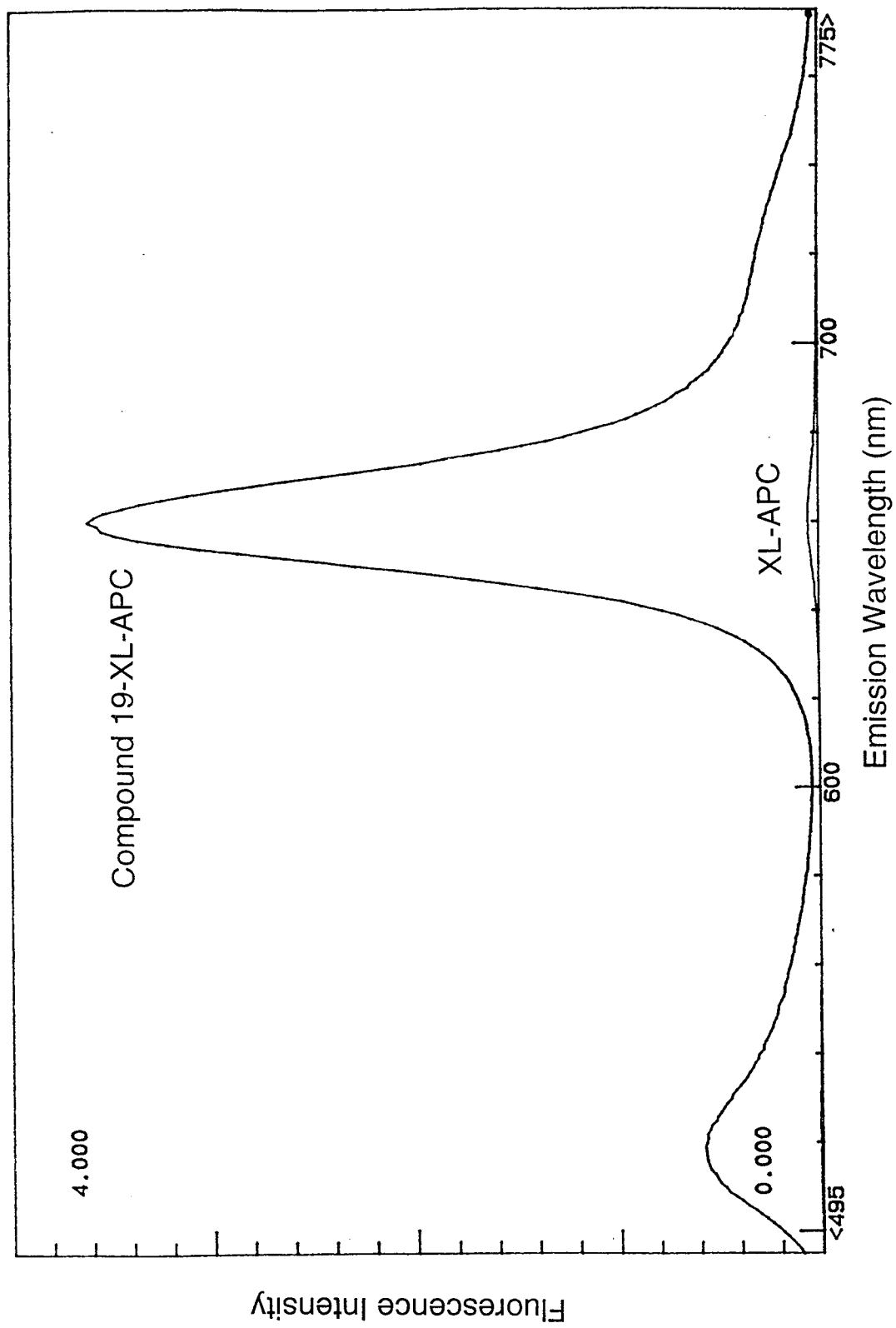


Figure 7



# INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/US 98/19921

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C07D311/82 C07D491/14 C07D405/12 C07D491/22 C07H3/06  
C07H21/00 C07H19/04 C07K14/415 G01N1/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07D C07H C07K G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.  |
|------------|--|------------------------|
| A          | WO 94 05688 A (APPLIED BIOSYSTEMS)<br>17 March 1994<br>cited in the application<br>see the whole document<br>---           | 1, 2, 20,<br>25, 26    |
| A          | WO 87 06138 A (HARVARD) 22 October 1987<br>see page 1 - page 3; claims<br>---  | 19, 20                 |
| A          | EP 0 795 554 A (TAKEDA) 17 September 1997<br><br>see page 38, line 56 - page 42; claims;<br>example 93; tables 3-11<br>--- | 1, 2, 7,<br>20, 25, 26 |
| A          | EP 0 582 836 A (BECTON, DICKINSON CY.)<br>16 February 1994<br>see the whole document<br>---                                | 19, 20                 |
| -/--       |  |                        |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

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Date of the actual completion of the international search

14 January 1999

Date of mailing of the international search report

28/01/1999

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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/19921

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|-----------------------|
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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/19921

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s)   | Publication<br>date  |
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